¹ Optimizing generalized kernels of polygons

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⁶ Abstract Let \mathcal{O} be a set of k orientations in the plane, and let P be a simple polygon in the plane. Given

⁷ two points p, q inside P, we say that $p \mathcal{O}$ -sees q if there is an \mathcal{O} -staircase contained in P that connects p⁸ and q. The \mathcal{O} -Kernel of the polygon P, denoted by \mathcal{O} -Kernel(P), is the subset of points of P which \mathcal{O} -see all

the other points in P. This work initiates the study of the computation and maintenance of \mathcal{O} -Kernel(P)as we rotate the set \mathcal{O} by an angle θ , denoted by \mathcal{O} -Kernel $_{\theta}(P)$. In particular, we consider the case when

¹¹ the set \mathcal{O} is formed by either one or two orthogonal orientations, $\mathcal{O} = \{0^{\circ}\}$ or $\mathcal{O} = \{0^{\circ}, 90^{\circ}\}$. For these ¹² cases and *P* being a simple polygon, we design efficient algorithms for computing the \mathcal{O} -Kernel_{θ}(*P*) while

¹³ θ varies in $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right)$, obtaining: (i) the intervals of angle θ where \mathcal{O} -Kernel_{θ}(P) is not empty, (ii) a value ¹⁴ of angle θ where \mathcal{O} -Kernel_{θ}(P) optimizes area or perimeter. Further, we show how the algorithms can be

¹⁵ improved when P is a simple orthogonal polygon. In addition, our results are extended to the case of a set

16 $\mathcal{O} = \{\alpha_1, \dots, \alpha_k\}.$

17 1 Introduction

The problem of computing or reaching the kernel of a polygon is a well-known visibility problem in computational geometry [6,9,13], closely related to the problem of guarding a polygon [12,14,15], and also to robot navigation inside a polygon with the restriction that the robot path must be *monotone* in some predefined set of orientations [5,17]. The present contribution goes a step further in the latter setting, allowing the polygon or, equivalently, the set of predefined orientations to rotate. Thus, we show how to compute the orientations that maximize the region from which every point can be reached following a monotone path.

²⁴ A curve C is 0°-convex if its intersection with any line parallel to the x-axis, called 0°-line, is connected ²⁵ (equivalently, if the curve C is y-monotone). Extending this definition, a curve C is α -convex if the intersection ²⁶ of C with any line forming a counterclockwise angle α with the positive x-axis, called α -line, is connected ²⁷ (equivalently, if the curve C is monotone with respect to the direction α^{\perp}).

Let us now consider a set $\mathcal{O} = \{\alpha_1, \dots, \alpha_k\}$ of k orientations in the plane, each of them given by an oriented line ℓ_i , $1 \leq i \leq k$, through the origin of the coordinate system and forming counterclockwise angle α_i with the positive x-axis. Then, a curve is \mathcal{O} -convex if it is α_i -convex for all $i, 1 \leq i \leq k$, i.e., if the intersection of \mathcal{C} with any line forming a counterclockwise angle α_i , $1 \leq i \leq k$, with the positive x-axis is connected (equivalently, if it is monotone with respect to all the directions α_i^{\perp}). From now on, an \mathcal{O} -convex

 $_{33}$ curve will be called an *O*-staircase. See Figure 1 for an illustration.

Observe that the orientations in \mathcal{O} are between 0° and 180°. Moreover, the only [0°, 180°)-convex curves

are lines, rays or segments. Throughout this paper, the angles of orientations in \mathcal{O} will be written in degrees,

 $_{36}$ while the rest of angles will be measured in radians.



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Fig. 1: A $\{0^{\circ}\}$ -staircase which is not a $\{0^{\circ}, 90^{\circ}\}$ -staircase (left) and a $\{0^{\circ}, 90^{\circ}\}$ -staircase (right).

Definition 1 Let p and q be two points inside a simple polygon P. We say that p and q O-see each other or, equivalently, that they are O-visible from each other, if there is an O-staircase contained in P that connects p and q.

In the example in Figure 1, p and q are $\{0^\circ\}$ -visible, while p' and q' are in addition $\{0^\circ, 90^\circ\}$ -visible. It is easy to see that p and q are not $\{90^\circ\}$ -visible.

⁴² **Definition 2** The \mathcal{O} -Kernel of P, denoted by \mathcal{O} -Kernel(P), is the subset of points in P which \mathcal{O} -see all

43 the other points in P. The O-Kernel of P when the set O is rotated by an angle θ will be denoted by

⁴⁴ \mathcal{O} -Kernel_{θ}(P).

45 1.1 Previous related work

⁴⁶ Schuierer, Rawlins, and Wood [14] defined the restricted-orientation visibility or \mathcal{O} -visibility in a simple ⁴⁷ polygon P with n vertices, giving an algorithm to compute the \mathcal{O} -Kernel(P) in time $O(k + n \log k)$, with ⁴⁸ $O(k \log k)$ preprocessing time to sort the set \mathcal{O} of k orientations. In order to do so, they used the following ⁴⁹ observation.

⁵⁰ **Observation 1 ([14])** For any simple polygon P, the \mathcal{O} -Kernel(P) is \mathcal{O} -convex, connected, and

$$\mathcal{O}$$
-Kernel $(P) = \bigcap_{\alpha_i \in \mathcal{O}} \alpha_i$ -Kernel (P) .

The computation of the \mathcal{O} -Kernel has been considered by Gewali [3] as well, who described an O(n)-52 time algorithm for orthogonal polygons without holes and an $O(n^2)$ -time algorithm for orthogonal polygons 53 with holes. The problem is a special case of the one considered by Schuierer and Wood [16] whose work 54 implies an O(n)-time algorithm for orthogonal polygons without holes and an $O(n \log n + m^2)$ -time algorithm 55 for orthogonal polygons with $m \geq 1$ holes. More recently, Palios [12] gave an output-sensitive algorithm 56 for computing the O-Kernel of an *n*-vertex orthogonal polygon P with m holes, for $\mathcal{O} = \{0^{\circ}, 90^{\circ}\}$; his 57 algorithm runs in $O(n + m \log m + \ell)$ time, where $\ell \in O(1 + m^2)$ is the number of connected components of 58 $\{0^{\circ}, 90^{\circ}\}$ -Kernel(P). Additionally, a modified version of this algorithm computes the number ℓ of connected 59 components of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel in $O(n + m \log m)$ time [12]. 60

61 1.2 Our contribution

 $_{62}$ We consider the problem of computing and maintaining the O-Kernel of P while the set O rotates, that

- is, computing and maintaining \mathcal{O} -Kernel_{θ}(P) under variation of θ . For a simple polygon P and θ varying in $\begin{bmatrix} \pi & \pi \\ -\pi & \pi \end{bmatrix}$ are more advertised on the same lattice of Φ .
- ⁶⁴ in $\left[-\frac{\pi}{2}, \frac{\pi}{2}\right)$, we propose algorithms achieving the complexities in Table 1, where $\alpha(n)$ is the extremely-slowly-⁶⁵ growing inverse of Ackermann's function [1]. In addition, for the case of a simple orthogonal polygon P, we
- $_{65}$ growing inverse of Ackermann's function [1]. In addition, for the case of a simple orthogonal polygon P, we $_{66}$ propose improved algorithms to achieve the complexities in Table 2. Note that looking for the minimum
- propose improved argonumns to achieve the complexities in Table 2. Note that 100.

	Get the intervals of θ where the kernel is non-empty		Get a value of θ where		Get a value of θ where	
			the kernel has max/min area		the kernel has max/min perimeter	
	Time	Space	Time	Space	Time	Space
$\{0^{\circ}\}$ -Kernel $_{\theta}(P)$	$O(n \log n)$	$O(n\alpha(n))$	$O(n^2 \alpha(n))$	$O(n\alpha(n))$	$O(n^2 \alpha(n))$	$O(n\alpha(n))$
	(Theorem 1)		(Theorem 2)		(Theorem 3)	
$\{0^{\circ}, 90^{\circ}\}$ -Kernel _{θ} (P)	$O(n^2\alpha(n))$	$O(n^2 \alpha(n))$	$O(n^2 \alpha(n))$	$O(n\alpha(n))$	$O(n^2 \alpha(n))$	$O(n\alpha(n))$
	(Theorem 4)		(Theorem 6)		(Theorem 6)	
$\mathcal{O} ext{-}\operatorname{Kernel}_{\theta}(P)$	$O(kn^2\alpha(n))$	$O(kn^2\alpha(n))$	$O(kn^2\alpha(n))$	$O(kn\alpha(n))$	$O(kn^2\alpha(n))$	$O(kn\alpha(n))$
	(Theorem 5)		(Theorem 7)		(Theorem 7)	

Table 1: Results for P a simple polygon.

	Get the intervals of θ where		Get a value of θ where		Get a value of θ where	
	the kernel is non-empty		the kernel has \max/\min area		the kernel has max/min perimeter	
	Time	Space	Time	Space	Time	Space
$\{0^{\circ}\}$ -Kernel $_{\theta}(P)$	O(n)	O(n)	O(n)	O(n)	O(n)	O(n)
	(Theorem 8)		(Theorem 9)		(Theorem 9)	
$\{0^{\circ}, 90^{\circ}\}$ -Kernel $_{\theta}(P)$	O(n)	O(n)	O(n)	O(n)	O(n)	O(n)
	(Theorem 10)		(Theorem 11)		(Theorem 11)	
$\mathcal{O} ext{-}\operatorname{Kernel}_{\theta}(P)$	O(kn)	O(kn)	O(kn)	O(kn)	O(kn)	O(kn)
	(Theorem 12)		(Theorem 12)		(Theorem 12)	

Table 2: Results for P a simple orthogonal polygon.

⁶⁸ 2 The rotated $\{0^{\circ}\}$ -Kernel_{θ}(P) in a simple polygon P

⁶⁹ Let (p_1, \ldots, p_n) be the counterclockwise sequence of vertices of a simple polygon P, which is considered to

⁷⁰ include its interior (sometimes called the *body*). In this section we deal with the rotation of the set $\mathcal{O} = \{0^{\circ}\}$ ⁷¹ by an angle $\theta \in [-\frac{\pi}{2}, \frac{\pi}{2})$ and the computation of the corresponding \mathcal{O} -Kernel_{θ}(P), proving the results in ⁷² the first row of Table 1.

⁷³ 2.1 The $\{0^{\circ}\}$ -Kernel(P), its area, and its perimeter

For the case $\mathcal{O} = \{0^{\circ}\}$ and $\theta = 0$, i.e., for the $\{0^{\circ}\}$ -Kernel₀(P) or, more simply, $\{0^{\circ}\}$ -Kernel(P), the kernel is composed by the points inside P which see every point in P via a y-monotone curve. Note that if P is a convex polygon, then the $\{0^{\circ}\}$ -Kernel(P) is the whole P. Schuierer, Rawlins, and Wood [14] presented the following definitions, observations, and results.

Definition 3 A reflex vertex $p_i \in P$ is a *reflex maximum* (respectively a *reflex minimum*) if p_{i-1} and p_{i+1} are both below (resp. above) p_i . Analogously, a horizontal edge with two reflex vertices is a *reflex maximum* (resp. *minimum*) if its two neighbors are below (resp. above).

Note that, throughout this work, the edges are considered to be closed and, therefore, containing their endpoints. Let h_N be the horizontal line passing through a vertex p_N being a *lowest reflex minimum* of Por, if P does not have a reflex minimum, through the highest (convex) vertex of P. Let h_S be the horizontal line passing through a vertex p_S being a *highest reflex maximum* p_S of P or, if P does not have a reflex maximum, through the lowest (convex) vertex of P. Let S(P) be the strip defined by the horizontal lines h_N and h_S , see Figure 2. Note that there are neither reflex minima nor maxima inside S(P).

Example 1 ([14]) The $\{0^{\circ}\}$ -Kernel(P) is the region defined by the intersection $S(P) \cap P$.

⁸⁸ Corollary 1 ([14]) The $\{0^\circ\}$ -Kernel(P) can be computed in O(n) time.

⁸⁹ Moreover, the horizontal lines h_N and h_S contain the segments of the *north* boundary and of the *south* ⁹⁰ boundary of the {0°}-Kernel(P); see again Figure 2. Lemma 1 is straightforward and Corollary 1 is trivial ⁹¹ by computing both the lowest reflex minimum and the highest reflex maximum in linear time and then

⁹² computing $S(P) \cap P$ in additional linear time. ⁹³ Now, let c^l and c^r denote the *left* and the *right polygonal chains* defined, respectively, by those parts of ⁹⁴ the boundary of P which are inside S(P). Let $|c^l|$ and $|c^r|$ denote their number of segments. It follows from

⁹⁵ the definition of S(P) and Lemma 1 that both chains are 0°-convex curves, i.e., y-monotone chains; see

⁹⁶ Figure 2 once more.



Fig. 2: Two examples of $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ for $\theta = 0$. In the left example, the strip S(P) is supported by a lowest reflex minimum p_N and a highest reflex maximum p_S . In the right example there are no reflex minima and, therefore, the strip S(P) is supported by the highest (convex) vertex p_N and the highest reflex maximum p_S .

97 **Corollary 2** The area and the perimeter of the $\{0^\circ\}$ -Kernel(P) can be computed in O(n) time.

Proof To compute the area of the $\{0^{\circ}\}$ -Kernel $(P) = S(P) \cap P$, we proceed as follows. The area can be decomposed into (a finite number of) horizontal trapezoids defined by pairs of vertices in $c^{l} \cup c^{r}$ with consecutive y-coordinate. The area of these trapezoids can be computed in constant time, so the area of $\{0^{\circ}\}$ -Kernel $(P) = S(P) \cap P$ can be computed in $O(|c^{l}| + |c^{r}|)$ time.

Computing the perimeter is even simpler, because we only need the addition of the lengths of c^l and c^r plus the lengths of the north and south boundaries of the $\{0^\circ\}$ -Kernel(P), which can also be done in $O(|c^l| + |c^r|)$ time.

¹⁰⁵ 2.2 The existence of the $\{0^{\circ}\}$ -Kernel_{θ}(P)

In this subsection, we show how to compute the intervals for θ such that the $\{0^\circ\}$ -Kernel_{θ}(P) is non-empty.

First, we observe that we do not need a complete rotation, since $\{0^{\circ}\}$ -Kernel $_{\frac{\pi}{2}}(P) = \{0^{\circ}\}$ -Kernel $_{\frac{\pi}{2}}(P)$. Also, notice that Definition 3, for reflex maxima/minima with respect to the horizontal orientation, can be easily extended to any orientation $\theta \in [-\frac{\pi}{2}, \frac{\pi}{2}]$ as follows.

Definition 4 A reflex vertex p_i in a simple polygon P where p_{i-1} and p_{i+1} are both below (respectively, above) p_i with respect to a given orientation θ is a *reflex maximum* (resp. a *reflex minimum*) with respect to θ . Analogously, an edge of angle θ with two reflex vertices is a *reflex maximum* (resp. *minimum*) when its two neighbors are below (resp. above) with respect to the orientation θ .

In order to know the intervals for θ such that the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ is not empty, we need to maintain the boundary of the rotation by angle θ of the strip S(P) previously defined, which will be denoted by $S_{\theta}(P)$; see Figure 3. We need to extend Lemma 1 to any orientation θ :

Lemma 2 The $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ is the region defined by the intersection $S_{\theta}(P) \cap P$.

¹¹⁸ Proof The claim follows from Lemma 1 and the fact that $\{0^\circ\}$ -Kernel $_{\theta}(P) = \{0^\circ\}$ -Kernel (P_{θ}) and $S_{\theta}(P) = S(P_{\theta})$, where P_{θ} denotes the polygon P rotated by the angle θ . See Figure 3.



Fig. 3: A rotating $\{0^{\circ}\}$ -Kernel_{θ}(P) for $\theta = 0$ (left), $\theta = \frac{\pi}{8}$ (middle), and $\theta = \frac{\pi}{4}$ (right).

Now, we describe the main steps of our algorithm to compute the intervals of those values of θ within $\begin{bmatrix} -\frac{\pi}{2}, \frac{\pi}{2} \end{bmatrix}$ such that $S_{\theta}(P) \neq \emptyset$ and, therefore, such that $\{0^{\circ}\}$ -Kernel_{θ} $(P) \neq \emptyset$.

Step 1: Angular intervals. For each vertex $p_i \in P$, if p_i is reflex, we compute the angular intervals 122 $[\theta_1^i, \theta_2^i)$ and $[\theta_1^i + \pi, \theta_2^i + \pi)$ of orientations θ for which p_i is a reflex maximum/minimum, defined when 123 rotating the line containing the edge $p_{i-1}p_i$ up to the line containing the edge p_ip_{i+1} . Otherwise, if p_i 124 is convex, we compute the angular intervals $[\theta_1^i, \theta_2^i)$ and $[\theta_1^i + \pi, \theta_2^i + \pi)$ of orientations θ for which p_i is 125 the lowest/highest vertex of the rotated polygon P_{θ} . Thus, in case that for some orientation θ there is 126 no reflex maximum/minimum, the lowest/highest convex vertex for that orientation will play the role of 127 reflex maximum/minimum. Note that an angular interval may be split into two, in case it contains the 128 orientation $\pi/2$. 129

Step 2: Dualization. For the sake of efficiently handling the next step, we do the dualization of the set of vertices together with their relevant non-empty angular intervals from Step 1. The dualization function ℓ we use is as follows: If p = (a, b) is a point in the primal, its dual $\ell(p)$ is the line $\ell(p) :\equiv y = ax - b$; if r is the line given by y = ax - b in the primal, its dual $\ell(r)$ is the point $\ell(r) := (a, b)$. Moreover, the point p = (a, b) lies below/on/above a line $l \equiv y = mx + c$ if and only if the line $\ell(p) \equiv y = ax - b$ passes above/through/below the point $\ell(l) = (m, -c)$, see [2].

In this way, for a vertex $p_i \in P$ we translate the two lines which contain the incident edges $p_i p_{i-1}$ and $p_i p_{i+1}$ of the polygon P into the corresponding dual points located on the dual line $\ell(p_i)$. In addition, we translate the set of lines through p_i in the angular interval of p_i into the corresponding set of dual points, which define a segment on the line $\ell(p_i)$. For an illustration, see the objects in red part in Figure 4. Thus, the angular interval of a point p_i is translated into the straight line segment on the line $\ell(p_i)$. Again, note that a vertex p_i may contribute two segments in the dual plane, if the corresponding angular interval contains the orientation $\pi/2$. The dualization process for all the other cases is done in an analogous way.

The dualization is performed as follows. On one hand, we dualize the reflex minima with their intervals which, in addition to the dual of intervals of the upper chain of the convex hull of P, CH(P), (in blue in Figure 4) results in an arrangement \mathcal{D}_{\min} of line segments. On the other hand, we dualize the reflex maxima with their intervals (an example in red in Figure 4) which, together with the dual of the intervals of the lower chain of CH(P), gives an arrangement \mathcal{D}_{\max} of line segments. Both arrangements have a linear number of line segments in the dual plane.



Fig. 4: In red, dualization of the angular interval corresponding to the vertex p_5 (left) in the primal, which in the dual translates into a segment on the line $\ell(p_5)$ (right). In blue, the angular intervals of the vertices p_7, p_1, p_2 in the upper chain of the convex hull in the primal (left), translate into the lower envelope of the arrangement in the dual (right).

149 Step 3: Event intervals. We compute the sequence of *event intervals*, each of which is defined by a pair 150 of orientation values $[\theta_1, \theta_2) \subset [-\frac{\pi}{2}, \frac{\pi}{2}]$ such that for any value $\theta \in [\theta_1, \theta_2)$, the strip $S_{\theta}(P)$ is supported 151 by the same pair of vertices of P, in other words, such that the pair of vertices of P defining the lowest 152 reflex minimum and the highest reflex maximum does not change for $\theta \in [\theta_1, \theta_2)$, recall Figure 3. In order 153 to determine the sequence of event intervals, we exploit the following observation.

Observation 2 The highest (resp. lowest) segment in \mathcal{D}_{\min} (resp. \mathcal{D}_{\max}) intersected by the vertical line $x = \theta$ corresponds in the primal to the lowest reflex minimum (resp. the highest reflex maximum) with respect to the orientation θ .

¹⁵⁷ Proof It directly follows from the already mentioned fact that the dualization reverses the above-below ¹⁵⁸ relations between lines and/or points. \Box

Taking into account the above observation, we compute the upper envelope of \mathcal{D}_{\min} , denoted by $\mathcal{U}_{\mathcal{D}_{\min}}$, and the lower envelope of \mathcal{D}_{\max} , denoted by $\mathcal{L}_{\mathcal{D}_{\max}}$ [4]. Next, by sweeping the arrangement $\mathcal{U}_{\mathcal{D}_{\min}} \cup \mathcal{L}_{\mathcal{D}_{\max}}$, we obtain the sequence of pairs "lowest reflex minimum and highest reflex maximum" for all the event intervals $[\theta_1, \theta_2)$, as θ varies in $[-\frac{\pi}{2}, \frac{\pi}{2}]$.

163 Step 4: Non-empty $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$. Recall that, by Lemma 2, the strip $S_{\theta}(P)$ is empty if, with respect 164 to θ , the lowest reflex minimum is below the highest reflex maximum. Therefore, this step relies only on 165 scanning the relevant pairs from Step 3 and checking whether the lowest reflex minimum is above the highest 166 reflex maximum, which results in the angular intervals $[\theta_1, \theta_2) \subset [-\frac{\pi}{2}, \frac{\pi}{2})$ such that $\{0^{\circ}\}$ -Kernel $_{\theta}(P) \neq \emptyset$ for

all the values of $\theta \in [\theta_1, \theta_2)$.

Algorithm 1 Computing the intervals of θ such that $\{0^\circ\}$ -Kernel_{θ} $(P) \neq \emptyset$

Input: A simple polygon P with n vertices

Output: Set \mathcal{I} of event intervals for angles θ such that $\{0^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$

STEP 1: ANGULAR INTERVALS

- 1: for i = 1 to n do
- 2: **if** $p_i \in P$ is reflex **then**
- 3: compute $[\theta_1^i, \theta_2^i)$ and $[\theta_1^i + \pi, \theta_2^i + \pi)$ such that p_i is reflex maximum/minimum
- 4: **if** $p_i \in P$ is convex **then**
- 5: compute $[\theta_1^i, \theta_2^i)$ and $[\theta_1^i + \pi, \theta_2^i + \pi)$ such that p_i is the lowest/highest vertex of P_{θ} ,

6: proceed like p_i being a vertex reflex minimum/maximum

STEP 2: DUALIZATION OF VERTICES WITH THEIR ANGULAR EVENTS FROM STEP 1

- 7: for i = 1 to n do
- 8: **if** p_i is a reflex maximum **then**
- 9: translate the angular interval of p_i into the line segment on $\ell(p_i)$ and include this in an arrangement \mathcal{D}_{\max}
- 10: **if** p_i is a reflex minimum **then**
- 11: translate the angular interval of p_i into the line segment on $\ell(p_i)$ and include this in an arrangement \mathcal{D}_{\min}

12: (Note that a reflex vertex may contribute two segments in the dual.)

13: Include in \mathcal{D}_{max} the dual of the lower chain of CH(P) and include in \mathcal{D}_{min} the dual of the upper chain of CH(P)

STEP 3: EVENT INTERVALS

- 14: Compute the event intervals such that $S_{\theta}(P)$ is supported by the same pair of vertices
- 15: Compute the upper envelope $\mathcal{U}_{\mathcal{D}_{\min}}$ of \mathcal{D}_{\min}
- 16: Compute the lower envelope $\mathcal{L}_{\mathcal{D}_{\max}}$ of \mathcal{D}_{\max}

17: Sweep $\mathcal{U}_{\mathcal{D}_{\min}} \cup \mathcal{L}_{\mathcal{D}_{\max}}$ and compute the "lowest reflex minimum and highest reflex maximum" for the event intervals

STEP 4: NON-EMPTY $\{0^\circ\}$ -Kernel_{θ}(P)

18: Scan the vertex pairs from STEP 3, checking whether the lowest reflex minimum is above the highest reflex maximum and, if so, add the corresponding interval to an initially empty set *I*19: output *I*

- $_{169}$ the concept of dualization together with Observation 2. About the complexity, STEPS 1 and 2 can be done in
- $_{170}$ linear time and space, in particular, by computing the convex hull of the simple polygon P [10]. STEP 3 can
- be done in $O(n \log n)$ time, since the computation of the upper (and the lower) envelope of a set of n possibly-
- intersecting straight-line segments can be done in $O(n \log n)$ time [4]. Finally, STEP 4 can be accomplished
- ¹⁷³ in $O(n\alpha(n))$, since the upper envelope and the lower envelope of a set of n possibly-intersecting straight-line

¹⁶⁸ Analysis of Algorithm 1. The correctness of Algorithm 1 follows from the discussion above, in particular from

- segments in the plane have worst-case size $O(n\alpha(n))$, where $\alpha(n)$ is the extremely-slowly-growing inverse of Ackermann's function [1].
- **Theorem 1** For a simple polygon P with n vertices, the set of event intervals $[\theta_1, \theta_2) \subset [-\frac{\pi}{2}, \frac{\pi}{2})$ such that $\{0^\circ\}$ -Kernel_{θ}(P) $\neq \emptyset$ for $\theta \in [\theta_1, \theta_2)$ can be computed in $O(n \log n)$ time and $O(n\alpha(n))$ space.

Proof The result is a direct consequence of applying Algorithm 1, whose correctness as well as time and space complexities follow from the analysis above. □

¹⁸⁰ 2.3 Optimizing the area of the $\{0^{\circ}\}$ -Kernel_{θ}(P)

Let us consider the problem of optimizing the area of the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$, i.e., computing the value(s) of θ such that the area of $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ is maximum or minimum (note that the latter only makes sense where the kernel is non-empty). The idea of our approach is based upon Algorithm 1 for computing the set of event intervals $[\theta_1, \theta_2) \subset [-\frac{\pi}{2}, \frac{\pi}{2}]$ such that $\{0^{\circ}\}$ -Kernel $_{\theta}(P) \neq \emptyset$ for all the values of $\theta \in [\theta_1, \theta_2)$ (Theorem 1). Namely, we do the following:

¹³⁶ Step A: $\{0^{\circ}\}$ -Kernel_{θ} $(P) \neq \emptyset$. Run STEPS 1-4 of Algorithm 1.

187 Step B: Vertex events. For each event interval $[\theta_1, \theta_2)$ from Step 4 (within which the highest reflex 188 maximum and the lowest reflex minimum do not change), we subdivide $[\theta_1, \theta_2)$ every time that, as θ varies, 189 a vertex of the simple polygon P either stops or starts contributing to the current boundary of the $\{0^{\circ}\}$ -190 Kernel_{θ}(P). Observe that at, every such subdivision step, the differential in the area can be decomposed 191 kernel_{θ}(P).

¹⁹¹ into triangles, as illustrated in Figure 5. In particular, for each of these consecutive subintervals $[\beta_j, \beta_{j+1})$ ¹⁹² of $[\theta_1, \theta_2)$, we have:

$$\operatorname{Area}(\{0^{\circ}\}\operatorname{-Kernel}_{\beta}(P)) = \operatorname{Area}(\{0^{\circ}\}\operatorname{-Kernel}_{\beta_{i}}(P)) + A_{1}(\beta) + A_{2}(\beta) - B_{1}(\beta) - B_{2}(\beta).$$
(1)

¹⁹⁴ Thus, for such $\beta \in [\beta_j, \beta_{j+1})$, the area of the $\{0^\circ\}$ -Kernel $_\beta(P)$ can be expressed, using simple trigonometric

relations, as a function $A(\beta)$ of the angle of rotation $\beta \in [\beta_j, \beta_{j+1})$, as detailed in Section A.1 in the

¹⁹⁶ appendix. Thus, it only remains to obtain the maximum value of that function in the subinterval. In the

¹⁹⁷ mentioned Section A.1 we show how this calculation is reduced to find the real solutions of a polynomial

equation in t of degree 6. The final solution to the problem is then the best one over all those computed

¹⁹⁹ for these consecutive subintervals $[\beta_j, \beta_{j+1})$.



Fig. 5: The four triangles $A_1(\beta)$, $A_2(\beta)$ (in green), and $B_1(\beta)$, $B_2(\beta)$ (in red).

Clearly, Step B requires computing and maintaining the boundary of $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$, in particular, maintaining the set of vertices of the current left and right boundary chains, respectively denoted by c_{θ}^{l} and c_{θ}^{r} , of $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ as $\theta \in [\theta_{1}, \theta_{2})$ varies (also for all the possible consecutive subintervals $[\beta_{j}, \beta_{j+1})$ of $[\theta_{1}, \theta_{2})$); see again Figure 5. For this purpose, we compute the intersections of the lines $h_{N}(\theta)$ and $h_{S}(\theta)$ with the boundary of P, maintaining the information of the first and the last vertices of c_{θ}^{l} and c_{θ}^{r} in the current interval $[\theta_{1}, \theta_{2})$. Now, as θ varies, the next vertex event can be computed in constant time by sweeping (and so modifying ad-hoc) chains c_{θ}^{l} and c_{θ}^{r} , in particular, using the circular order of the vertices of the polygon P and taking the smallest among the relevant angles defined by the current line $h_{N}(\theta)$ (resp. $h_{S}(\theta)$), the point $p_{N}(\theta)$ (resp. $p_{S}(\theta)$), and the relevant first polygon vertex on c_{θ}^{l} and the first polygon vertex after the last polygon vertex on c_{θ}^{r} (resp. the first polygon vertex on c_{θ}^{r} and the first polygon vertex c_{θ}^{r} and the first polygon vertex c_{θ}^{r} and the first polygon vertex c_{θ}^{r} (resp. the first polygon vertex on c_{θ}^{r} and the first polygon vertex c_{θ}^{r} (resp. the first polygon vertex on c_{θ}^{r} and the first polygon vertex c_{θ}^{r} (resp. the first polygon vertex on c_{θ}^{r} and the first polygon vertex c_{θ}^{r} (resp. the first polygon vertex on c_{θ}^{r} and the first polygon vertex c_{θ}^{r} (resp. the first polygon vertex on c_{θ}^{r} and the first polygon vertex c_{θ}^{r} (resp. the first polygon vertex on c_{θ}^{r} (resp. the first polygon vertex

after the last polygon vertex on c_{θ}^{l}).

Algorithm 2 Computing the maximum area of $\{0^{\circ}\}$ -Kernel_{θ}(P)

Input: A simple polygon P with n vertices

Output: An angle θ such that $Area(\{0^\circ\}$ -Kernel $_{\theta}(P))$ is maximum and the maximum value of the area

STEP A: $\{0^\circ\}$ -Kernel_{θ} $(P) \neq \emptyset$ 1: Run STEPS 1-4 from Algorithm 1

STEP B: VERTEX EVENTS.

- 2: for each $[\theta_1, \theta_2)$ from STEP 4 of Algorithm 1 do
- 3: if a vertex of P stops/starts appearing on the current boundary of the $\{0^{\circ}\}$ -Kernel_{θ}(P) then
- 4: subdivide $[\theta_1, \theta_2)$ into consecutive subintervals $[\beta_j, \beta_{j+1})$ and decompose the differential of the area into triangles 5: for each subinterval $[\beta_j, \beta_{j+1})$ and $\beta \in [\beta_j, \beta_{j+1})$ do

 $A(\beta) = Area(\{0^{\circ}\} - \operatorname{Kernel}_{\beta}(P)) = Area(\{0^{\circ}\} - \operatorname{Kernel}_{\beta_{i}}(P)) + A_{1}(\beta) + A_{2}(\beta) - B_{1}(\beta) - B_{2}(\beta) - B_{2}$

6: Find the real solutions of a polynomial equation, and maintain the maximum value of $A(\beta)$ and the corresponding angle

7: output the maximum value of the area and the corresponding angle

One can wonder whether the same vertex of a simple polygon P may contribute to a vertex event for 211 several event intervals. Surprisingly enough, there can be $\Theta(n)$ distinct vertices, each of them contributing 212 $\Theta(n)$ vertex events, as illustrated in Figure 6. By Theorem 1 we know that the number of event intervals 213 is at most $O(n\alpha(n))$ thus, there may be as many as $O(n^2\alpha(n))$ vertex events (consecutive subintervals) 214 involving in total $O(n^2\alpha(n))$ non-empty kernels $\{0^\circ\}$ -Kernel_{θ}(P) having combinatorially different bound-215 aries, implying the time complexity for computing the angle θ that maximizes (or minimizes) the area of 216 $\{0^{\circ}\}$ -Kernel_{θ}(P). To see this, it is enough to construct a simple polygon P' by replicating the set of four 217 points $\{p_1, p_2, p_3, p_4\}$ in Figure 6 a linear number of times, and keeping the $\Theta(n)$ vertices in the corner. As 218 we will see later, this bound also works for the computation of the maximum (or minimum) value of the 219 perimeter of $\{0^{\circ}\}$ -Kernel_{θ}(P). From this discussion we get the following result. 220

Proposition 1 For a simple polygon P with n vertices, the number of vertex events or consecutive subintervals $[\beta_j, \beta_{j+1})$ where Algorithm 2 has to optimize the area of $\{0^\circ\}$ -Kernel_{θ}(P) is $O(n^2\alpha(n))$.

Proof The $O(n^2\alpha(n))$ bound comes from the simple polygon P' constructed above based on Figure 6, taking into account the computation of the envelopes for obtaining the event intervals in Theorem 1.

Analysis of Algorithm 2. The correctness of Algorithm 2 follows from the discussion above. Namely, STEP A 225 consists on running Algorithm 1, so it takes $O(n \log n)$ time and $O(n\alpha(n))$ space, obtaining $O(n\alpha(n))$ event 226 intervals. By Proposition 1, the number of vertex events or consecutive subintervals can be $O(n^2\alpha(n))$, and 227 STEP B spends constant time for the optimization in each of them, see Section A.1. Thus, this implies 228 $O(n^2\alpha(n))$ time and $O(n\alpha(n))$ space in total. Notice that when we change from an event interval to the 229 next event interval, we might have to manage a situation like the one illustrated in Figure 6, but this can 230 be done in linear time and space since we translate one side of the kernel in parallel with the endpoints 231 going through vertices on the boundary of P (vertices in the corner in Figure 6). Thus, it does not change 232 the total time complexity because it implies an additional $O(n^2\alpha(n))$ time; also the space complexity does 233 not change because the algorithm always reuses the linear space. 234

Theorem 2 For a simple polygon P with n vertices, an angle θ that maximizes/minimizes the value of the area of $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ can be computed in $O(n^2\alpha(n))$ time and $O(n\alpha(n))$ space.

Proof Again, the correctness of our approach and the time and space complexities follow from the discussion above on the analysis of Algorithm 2 and Proposition 1. The problem of minimizing the area, where meaningful, is handled in the same way.



Fig. 6: For each vertex p_i , $1 \le i \le 4$, all the $\Theta(n)$ vertices in the corner will be scanned again.

²⁴⁰ 2.4 Optimizing the perimeter of the $\{0^{\circ}\}$ -Kernel_{θ}(P)

Consider now the problem of optimizing the perimeter of $\{0^{\circ}\}$ -Kernel_{θ}(P), denoted by $\Pi(\theta)$, where the 241 goal is to compute the value(s) of θ such that $\Pi(\theta)$ is maximum or minimum (note that the latter only 242 makes sense where the kernel is non-empty). Observe that we can apply the same approach as the one 243 proposed for optimizing the area of $\{0^{\circ}\}$ -Kernel_{θ}(P) in Algorithm 2, with the only difference that now, 244 when handling the vertex events (defined and computed exactly in the same way as in the case of optimizing 245 the area in Step B), we need to handle the expression for the polygon perimeter. Clearly, the differential in 246 the perimeter can be decomposed as adding two segments and subtracting two other segments, see again 247 Figure 5, and thus the perimeter can then be expressed, using simple trigonometric relations, as a function 248 $\Pi(\beta)$ of the angle of rotation $\beta \in [\beta_j, \beta_{j+1})$, see Section A.2 in the appendix. Then, it only remains to obtain 249 the maximum value of that function in the interval $[\beta_j, \beta_{j+1})$. As detailed in Section A.2, this amounts to 250 finding the real solutions of a polynomial equation in t of constant degree. Consequently, we may conclude 251 with the following result, where the minimization of the perimeter, if meaningful, is handled analogously. 252

Theorem 3 For a simple polygon P with n vertices, an angle θ such that the value of the perimeter of $\{0^\circ\}$ -Kernel_{θ}(P) is maximum/minimum can be computed in $O(n^2\alpha(n))$ time and $O(n\alpha(n))$ space.

²⁵⁵ 3 The rotated $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) of a simple polygon P

We continue our study on the problem of computing the \mathcal{O} -Kernel of a simple polygon P considering the case when \mathcal{O} is given by two perpendicular orientations which rotate simultaneously, for which we prove the results in the second row of Table 1. Notice that the two orientations do not need to be perpendicular for the proofs nor the algorithm in this section, because we are using Observation 1. Moreover, since the problem for a set \mathcal{O} with k orientations reduces to computing and maintaining the intersection of k different kernels, the results in the third row of Table 1 will follow as well.

- ²⁶² 3.1 The existence of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P)
- Taking into account Observation 1, one can determine the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) by computing the intersec-
- tion of the two kernels $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ and $\{90^{\circ}\}$ -Kernel $_{\theta}(P)$, respectively. Note that, in fact, the latter
- equals the $\{0^{\circ}\}$ -Kernel_{$\theta+90^{\circ}$} (P). In the following, the points $p_W(\theta)$ and $p_E(\theta)$ for the $\{90^{\circ}\}$ -Kernel_{$\theta}(P)$ </sub>
- are analogous to the points $p_N(\theta)$ and $p_S(\theta)$ previously defined for the $\{0^\circ\}$ -Kernel $_{\theta}(P)$. Notice that
- $p_{N}(\theta + 90^{\circ}) = p_{W}(\theta)$ and $p_{S}(\theta + 90^{\circ}) = p_{E}(\theta)$, recall Figure 2, and see Figure 7.



Fig. 7: Left: A $\{0^{\circ}, 90^{\circ}\}$ -Kernel $_{\theta}(P)$ and the rotated kernel in the next event, the area leaving (resp. entering) the kernel being depicted in red (resp. green). Right: A more general $\{0^{\circ}, 90^{\circ}\}$ -Kernel $_{\theta}(P)$ and the rotated kernel in a slightly larger angle β , depicting the entering and leaving areas as before. Note that, in both cases, $p_i(\gamma_1) = p_i(\theta)$ for any $\theta \in [\gamma_1, \gamma_2), i \in \{N, W, S, E\}$.

268 3.1.1 Floating rectangle.

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Let $\theta \in [0, \pi/2)$ be an angle such that both $\{0^\circ\}$ -Kernel $_{\theta}(P)$ and $\{90^\circ\}$ -Kernel $_{\theta}(P)$ are non-empty. In what follows, we refer to the intersection $S_{\theta}(P) \cap S_{\theta+90^\circ}(P)$ as a *floating rectangle*, and denote it by R_{θ} (recall that $S_{\alpha}(P)$ denotes the strip defined by the lines $h_N(\alpha)$ and $h_S(\alpha)$ being, respectively, the line with slope $\tan(\alpha)$ passing through $p_N(\alpha)$ and the line with slope $\tan(\alpha)$ passing through $p_S(\alpha)$). Clearly, by combining Lemma 1 with Observation 1, we observe that

$$\{0^{\circ}, 90^{\circ}\}\text{-}\mathrm{Kernel}_{\theta}(P) = R_{\theta} \cap P, \tag{2}$$

²⁷⁵ which immediately results in the following observation.

Observation 3 The $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) of a simple polygon P is empty if, and only if:

- (A) either one of the two kernels $\{0^{\circ}\}$ -Kernel_{θ}(P) or $\{90^{\circ}\}$ -Kernel_{θ}(P) is empty, or
- (B) the floating rectangle R_{θ} is lying outside P (as in Figure 8, left).



Fig. 8: Three types of kernel with the arcs for the vertices of the floating rectangle. Note that the references to the angle θ in $p_i(\theta)$ and $x_{ij}(\theta)$ have been removed for the sake of an easier visualization.

- Assume now that, following our approach proposed for the proof of Theorem 1, we have already com-
- ²⁸⁰ puted the sequence $\mathcal{I}_{0^{\circ}}$ of event intervals where $\{0^{\circ}\}$ -Kernel_{θ} $(P) \neq \emptyset$, and in an analogous way the sequence ²⁸¹ $\mathcal{I}_{90^{\circ}}$ of event intervals where $\{90^{\circ}\}$ -Kernel_{θ} $(P) \neq \emptyset$. Now, in $O(n\alpha(n))$ time and space, we obtain from these
- \mathcal{I}_{200} two event intervals where $\{50\}$ refine $\mathcal{J}(n) \neq 0$. Now, in $O(n\alpha(n))$ time and space, we obtain nom these two event sequences the sequence \mathcal{I} (with complexity $O(n\alpha(n))$ of the event intervals corresponding to the
- simultaneous rotation of both kernels, saving only those non-empty intersections $I' \cap I''$ of event intervals
- $I' \in \mathcal{I}_{0^{\circ}}$ and $I'' \in \mathcal{I}_{90^{\circ}}$, where both kernels are non-empty. Once we have stored this data, as a matter of
- fact, we have handled Case (A) in Observation 3.
- Next, as regards Case (B) in Observation 3, the following lemma allows us to check whether the intersection $\{0^{\circ}\}$ -Kernel_{θ}(P) \cap $\{90^{\circ}\}$ -Kernel_{θ}(P) = $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) is non-empty.
- Lemma 3 Consider an event interval $[\gamma_1, \gamma_2) \in \mathcal{I}$ and an angle $\theta \in [\gamma_1, \gamma_2)$. Then the $\{0^\circ, 90^\circ\}$ -Kernel $_{\theta}(P)$ is non-empty in the following cases:
- (B.1) At least one point among the current $p_N(\gamma_1)$, $p_S(\gamma_1)$, $p_E(\gamma_1)$, $p_W(\gamma_1)$ belongs to the floating rectangle R_{γ_1} (see Figure 7).
- (B.2) The polygon P contains at least one of the corners of the floating rectangle R_{θ} (see Figure 8).

Proof First, if at least one of the cases (B.1), (B.2) holds then the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{γ_1}(P) is non-empty. 293 Assume now that the kernel is non-empty and suppose, for contradiction, that neither (B.1) nor (B.2) 294 holds. Then, the fact that (B.2) does not hold implies that all 4 corners of the rectangle R_{γ_1} lie outside P. 295 Consider two adjacent corners r, r' of R_{γ_1} lying on the line $h_N(\gamma_1)$ that goes through $p_N(\gamma_1)$. The fact that 296 (B.1) does not hold implies that $p_N(\gamma_1)$ does not belong to the line segment connecting r, r', But then, if 297 there were a point $q \in P$ on the segment rr', then the definition of the $\{0^\circ\}$ -Kernel_{θ}(P) (see Definition 2) 298 implies that q should be γ_1 -visible from $p_N(\gamma_1)$. Then, Definition 1 implies that there is a γ_1 -staircase C 299 in P connecting $p_N(\gamma_1)$ and q; this is a contradiction because the intersection of C with the line $h_N(\gamma_1)$ 300 which has slope $\tan(\gamma_1)$ is not connected. Thus, the entire edge rr' of R_{γ_1} lies outside P. 301

Similarly, the other edges of R_{γ_1} lie outside P as well. Then, for any point q' inside R_{γ_1} , we can apply the same argument by using a line parallel to $h_N(\gamma_1)$ that goes through q' (note that such a line intersects the strip S_{γ_1}), proving that $q' \notin P$. Therefore, the entire R_{γ_1} lies outside P, in contradiction to the fact that the $\{0^\circ, 90^\circ\}$ -Kernel $_{\gamma_1}(P)$ is non-empty.

³⁰⁶ Clearly, Case (B.1) can be checked in constant time, by the orientation test with the point considered ³⁰⁷ and the two lines forming the relevant strip. Notice that the situation of these four points cannot change ³⁰⁸ during the event interval $[\gamma_1, \gamma_2)$, since $p_i(\gamma_1) = p_i(\theta)$ for any $\theta \in [\gamma_1, \gamma_2)$, $i \in \{N, W, S, E\}$.

309 3.1.2 Arc events.

For $i \in \{N, S\}$, let $x_{iW}(\theta)$ (resp. $x_{iE}(\theta)$) denote the intersection point of the line $h_i(\theta)$ with the line 310 $h_N(\theta + 90^\circ)$ (resp. $h_S(\theta + 90^\circ)$), see Figure 8. In other words, the points $x_{ij}(\theta)$, $i \in \{N, S\}$ and $j \in \{W, E\}$, 311 are the relevant four corners of the floating rectangle R_{θ} . Next, for $i \in \{N, S\}$ and $j \in \{W, E\}$, let $C_{ij}(\theta)$ 312 denote the circle passing through the points $p_i(\theta), p_j(\theta)$ and $x_{ij}(\theta)$, again see Figure 8. Finally, let $\check{a}_{ij}(\theta)$ 313 denote the arc of $C_{ij}(\theta)$ between $p_i(\theta)$ and $p_j(\theta)$ such that $x_{ij}(\theta)$ belongs to $\check{a}_{ij}(\theta)$. Notice that the angle 314 between points $p_i(\theta), x_{ij}(\theta)$ and $p_j(\theta)$ is the right angle, and so the point $x_{ij}(\theta)$ describes the semicircle 315 having as diameter the segment $\overline{p_i(\theta)p_j(\theta)}$ (see again see Figure 8), thus implying $C_{ij}(\theta) = C_{ij}(\gamma_1)$ and 316 $\check{a}_{ij}(\theta) = \check{a}_{ij}(\gamma_1)$ for any $\theta \in [\gamma_1, \gamma_2)$. Consequently, as θ varies in $[\gamma_1, \gamma_2)$, the point $x_{ij}(\theta)$ continuously 317 moves along the arc $\check{a}_{ij}(\gamma_1)$. Moreover, we have the following observation. 318

Observation 4 As θ varies in $[\gamma_1, \gamma_2)$, the point $x_{ij}(\theta)$ can change several times from the exterior to the interior of the polygon P or vice versa.

The claim follows from the interval $[\gamma_1, \gamma_2)$ being the intersection of event intervals and the fact that the boundary of the simple polygon P can be a polyline of size $\Theta(n)$, as the one in Figure 6. Taking into account Observation 4, for an event interval $[\gamma_1, \gamma_2)$, we can handle the case (B.2) in linear time. Because there are at most $O(n\alpha(n))$ event intervals, the total complexity for this step will be $O(n^2\alpha(n))$. Therefore, we can outline Algorithm 3.

Analysis of Algorithm 3. For STEP I we only need to apply twice Algorithm 1, and then do a refinement of two sequences of sizes $O(n\alpha(n))$, getting a sequence of size $O(n\alpha(n))$ in $O(n \log n)$ time and $O(n\alpha(n))$ space. STEP II has two cases: Case (B.1) takes only constant time to check whether some of the points belongs to the floating rectangle, and it is done $O(n\alpha(n))$ times, giving $O(n\alpha(n))$ total time complexity.

³³⁰ Case (B.2) is also done $O(n\alpha(n))$ times but in each of them, we might have to check (in constant time) at

Algorithm 3 Computing the intervals of θ such that $\{0^\circ, 90^\circ\}$ -Kernel_{θ} $(P) \neq \emptyset$

Input: A simple polygon P with n vertices

Output: Sequence \mathcal{E} of intervals for angles θ such that $\{0^\circ, 90^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$

STEP I: EVENT INTERVALS: CHECKING CASE (A) IN OBSERVATION 3

- 1: Apply Algorithm 1 to compute the sequence $\mathcal{I}_{0^{\circ}}$ of event intervals where $\{0^{\circ}\}$ -Kernel_{θ}(P) $\neq \emptyset$
- 2: Apply Algorithm 1 to compute the sequence $\mathcal{I}_{90^{\circ}}$ of event intervals where $\{90^{\circ}\}$ -Kernel_{θ} $(P) \neq \emptyset$
- 3: Combine $\mathcal{I}_{0^{\circ}}$ and $\mathcal{I}_{90^{\circ}}$ into the sequence $\mathcal{I} = \{I' \cap I'' = [\gamma_j, \gamma_{j+1}) \mid I' \in \mathcal{I}_{0^{\circ}}, I'' \in \mathcal{I}_{90^{\circ}}\}$

STEP II: Floating rectangle: Checking Case (B)

4: for each event interval $[\gamma_1, \gamma_2) \in \mathcal{I}$ do 5: if $p_N(\gamma_1)$ or $p_S(\gamma_1)$ or $p_E(\gamma_1)$ or $p_W(\gamma_1)$ belongs to R_{γ_1} then 6: Case (B.1) holds and $\{0^\circ, 90^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$ for $\theta \in [\gamma_1, \gamma_2)$. Insert $[\gamma_1, \gamma_2)$ in an initially empty sequence \mathcal{E} 7: else 8: for each vertex event $[\beta_1, \beta_2) \subseteq [\gamma_1, \gamma_2)$ do 9: if $x_{ij}(\theta), i \in \{N, S\}, j \in \{W, E\},$ on $\check{a}_{ij}(\gamma_1)$ as $\theta \in [\beta_1, \beta_2)$, is in the interior of P then 10: Case (B.2) holds and $\{0^\circ, 90^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$ for $\theta \in [\beta_1, \beta_2)$. Insert $[\beta_1, \beta_2)$ in \mathcal{E}

11: output \mathcal{E}

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most a linear number of vertex events or consecutive subintervals for each of the four vertices of the current floating rectangle. Therefore the total complexities of STEP II are $O(n^2\alpha(n))$ time and space. Notice that

³³² floating rectangle. Therefore the total complexities of STEP II are $O(n^2 \alpha(n))$ time and space. Notice that ³³³ the space complexity of the algorithm is $O(n^2 \alpha(n))$ because we are storing a sequence \mathcal{E} of (possible) size ³³⁴ $O(n^2 \alpha(n))$.

Theorem 4 For a simple polygon P with n vertices, the sequence of consecutive intervals for the angles θ such that $\{0^\circ, 90^\circ\}$ -Kernel_{θ}(P) $\neq \emptyset$ can be computed in $O(n^2\alpha(n))$ time and space.

 $_{337}$ Proof The discussion above and the analysis of the complexities in Algorithm 3 provide the proof of this theorem.

339 3.1.3 Generalization to k orientations

One can extend Theorem 4 to the case of a set $\mathcal{O} = \{\alpha_1, \ldots, \alpha_k\}$ of k orientations. In particular, Lemma 3 can be extended as follows. Instead of the four points $p_N(\gamma_1)$, $p_S(\gamma_1)$, $p_E(\gamma_1)$, and $p_W(\gamma_1)$, we have 2k highest/lowest maximum/minimum reflex vertices according to the k different orientations. The extended version of Condition (B.1) requires at least one of them to be inside the convex polygon defined by the intersection of the k strips, what can be checked in O(k) time and space, whereas Condition (B.2) holds if at least one vertex of this convex polygon is inside P, what can be checked in $O(kn^2\alpha(n))$ time and space. Thus, we get the following result.

Theorem 5 For a simple polygon P with n vertices, the sequence of consecutive intervals for the angles θ such that $\{\alpha_1, \ldots, \alpha_k\}$ -Kernel $_{\theta}(P) \neq \emptyset$ can be computed in $O(kn^2\alpha(n))$ time and space.

³⁴⁹ 3.2 Optimizing the area and perimeter of $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) of simple polygons

Let us consider a $\{0^{\circ}, 90^{\circ}\}$ -Kernel $_{\theta}(P)$ at some angle $\theta = \gamma_1$ and suppose that the orientations are rotated to a slightly larger angle β so that the kernels at angle γ_1 and β are defined by the same reflex minima and maxima $p_i(\gamma_i), i \in \{N, W, S, E\}$, and are bounded by the same edges of the polygon. The differential in the area of the kernels in the case shown in Figure 7, left, can be expressed in terms of 8 triangles similar to the ones we saw for the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$. The case we show in Figure 7, right, is more general and we have (for simplicity, we use here p_i instead of $p_i(\gamma_i)$ for $i \in \{N, W, S, E\}$):

$$A(\beta) = A(\gamma_1) + (A_T(p_S \, d \, p_E) - A_T(p_S \, a \, p_E)) + (A_T(p_E \, e \, p_N) - A_T(p_E \, b \, p_N)) - A_T(p_N \, r \, t) + A_T(p_E \, s \, u) - (A_T(p_E \, f \, p_S) - A_T(p_E \, c \, p_S)),$$
(3)

where by $A_T(a b c)$ we denote the area of the triangle with vertices a, b, c. Thus, the differential in the area can be expressed using the area of at most 8 triangles with 1 edge on a polygon edge and at most 4 differences of two triangles with common base and whose third vertex moves along a circular arc. The differential in the perimeter is (see Figure 7, right):

$$\Pi(\beta) = \Pi(\gamma_1) + (\Pi_T(p_S \, d \, p_E) - \Pi_T(p_S \, a \, p_E)) - (\Pi_T(p_E \, e \, p_N) - \Pi_T(p_E \, b \, p_N))$$

$$+ \Delta \Pi_T^{-}(p_N r t) + \Delta \Pi_T^{+}(p_E s u) + (\Pi_T(p_E f p_S) - \Pi_T(p_E c p_S))$$
(4)

where $\Pi_T(a b c)$ is the perimeter of the triangle with vertices a, b, c and $\Delta \Pi_T^+(a b c)$ (resp. $\Delta \Pi_T^-(a b c)$) is the sum (resp. difference) of the difference of the lengths of the edges at angle β and γ_1 plus (resp. minus) the length of the third edge.

To compute and maintain the optimal values for the area and perimeter of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P), we can use the data computed in Section 3.1 about the intervals where this kernel is non-empty. Moreover, we can assume that in each of these intervals there are neither changes in the points of P defining the kernel, nor changes in the vertices of the intersection rectangle of the two kernel strips. In particular, following Lemma 3 and Observation 4, we compute different intervals for the cases when one, two, three, or the four vertices of the rectangle lie inside the kernel. This only implies a multiplicative constant factor in the number of event intervals. Thus, again a total of $O(n^2 \alpha(n))$ intervals arise.

Next, we can analyze the method and formulas to compute the area or the perimeter according to the different types of intervals. We can always assume that we have computed the area or the perimeter of the previous interval, i.e., if we are going to analyze the interval $[\gamma_1, \gamma_2)$, then we know the values of the area and the perimeter for the previous interval $[\gamma'_1, \gamma'_2)$.

Thus, for the area or perimeter in Case (B.1) of Lemma 3, if these four points are inside the kernel as illustrated in Figure 7, left, then we have to consider the 8 triangles involved with the formulas for the area or perimeter, in an analogous way as for the case of one orientation $\{0^{\circ}\}$ -Kernel_{θ}(P) in Subsections 2.3 and 2.4. If there are three, two, or only one of the points inside the kernel, it is enough to incorporate the corresponding new formulas for these cases. For the sake of easier reading, and since the complexity of the algorithm does not increase, the details for those cases are omitted.

An analogous situation arises for Case (B.2) of Lemma 3: If all four rectangle corners are inside the polygon P, then it is easy to describe the formulas for the area and perimeter. We would have to add new formulas for the cases where there are three, two, or only one corner of the rectangle, but again the complexity of the algorithm does not change and details are omitted.

Thus, it is clear that the relevant issue for the algorithms optimizing area or perimeter is the total time 386 for computing all of the $O(n^2\alpha(n))$ intervals (each one of them can be handled in constant time), which is 387 $O(n^2\alpha(n))$. The space complexity is $O(n\alpha(n))$ because we only maintain the maximum/minimum values of 388 the area or the perimeter but no all of the computed values, thus the used space is essentially for computing 389 the set of event intervals. Notice that when we change from an event interval to the next event interval, we 390 may have to manage a situation like the one illustrated in Figure 6 from Proposition 1, but this can be done 391 in linear time and space since we translate one side of the kernel in parallel with a endpoint going through 392 vertices on the boundary of P, and it does not change the total time and space complexities. Therefore, we 393 have the following result. 394

Theorem 6 For a simple polygon P with n vertices, an angle θ such that the area or the perimeter of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) are maximum/minimum can be computed in $O(n^2\alpha(n))$ time and $O(n\alpha(n))$ space.

397 3.2.1 Generalization to k orientations

In a similar way as above, we can extend Theorem 6 to the case of a set $\mathcal{O} = \{\alpha_1, \ldots, \alpha_k\}$ of k orientations. Thus, we get the following result¹.

Theorem 7 For a simple polygon P with n vertices, an angle θ such that the area or the perimeter of $\{\alpha_1, \ldots, \alpha_k\}$ -400 Kernel_{θ}(P) are maximum/minimum can be computed in $O(kn^2\alpha(n))$ time and $O(kn\alpha(n))$ space.

402 4 Simple orthogonal polygons

⁴⁰³ In this section, we confine our study to simple orthogonal polygons, showing how the results in Table 1 can ⁴⁰⁴ be improved to those in Table 2 for this case.

Each edge of an orthogonal polygon is a N-edge, S-edge, E-edge, or W-edge depending on whether it

bounds the polygon from the north, south, east, or west, respectively. In particular, for $D \in \{N, S, E, W\}$, a

⁴⁰⁷ *D-dent* is a *D*-edge whose both endpoints are reflex vertices of the polygon. We call a sequence of alternating

⁴⁰⁸ N- and E-edges a NE-staircase, and similarly we define the NW-staircase, SE-staircase, and SW-staircase;

 $_{409}$ clearly, each of these staircases is both x- and y-monotone. Additionally, we characterize the reflex vertices

¹ Actually, we can compute all angles θ maximizing/minimizing the area/perimeter in of $O(kn^2\alpha(n))$ space.

of an orthogonal polygon based on the type of incident edges; more specifically, each reflex vertex incident to a N-edge and an E-edge is called a NE-*reflex vertex*, and analogously we have the NW-, SE- and SW*reflex vertices*. See Figure 9, left. The definition of reflex maxima/minima with respect to some orientation (Definition 4) and the angles of the lines L such that both neighbors of a reflex vertex are both below or

 $_{414}$ both above *L* imply the following observation.

Observation 5 (i) For $\theta = 0$ (resp. $\theta = -\frac{\pi}{2}$), only the S- and N-dents (resp. W- and E-dents) contribute reflex minima and maxima, respectively.

(ii) With respect to an orientation $\theta \in (0, \frac{\pi}{2})$, every SE-reflex vertex of an orthogonal polygon is a reflex maximum and every NW-reflex vertex is a reflex minimum, whereas for $\theta \in (\frac{\pi}{2}, \pi)$, every SW-reflex vertex is a reflex maximum and every NE-reflex vertex is a reflex minimum.

Analogously, with respect to the orientation $\theta + 90^{\circ}$, for $\theta \in (0, \frac{\pi}{2})$, every SW-reflex vertex is a reflex maximum and every NE-reflex vertex is a reflex minimum, whereas for $\theta \in (\frac{\pi}{2}, \pi)$, every SE-reflex vertex

422 is a reflex maximum and *every* NW-reflex vertex is a reflex minimum.

As not all SE-reflex and NW-reflex vertices are corners of dents, Observation 5 implies that there may be a discontinuity in the area or perimeter of the $\{0^{\circ}\}$ -Kernel_{θ}(P) at $\theta = 0$ and $\theta = \frac{\pi}{2}$; these two cases need to be treated separately. Furthermore, it points out a crucial advantage of the orthogonal polygons over simple polygons stated in the following observation.

⁴²⁷ **Observation 6** In an orthogonal polygon P, for any $\theta \in (0, \frac{\pi}{2})$ (and similarly for any $\theta \in (-\frac{\pi}{2}, 0)$), the set of ⁴²⁸ reflex minima/maxima does not change, and thus the lines bounding the strip $S_{\theta}(P)$ rotate in a continuous ⁴²⁹ fashion.

This directly implies that a situation like the one depicted in Figure 6 cannot occur. Finally, statement (ii) of Observation 5 implies the following corollary.

432 **Corollary 3** Let P be a simple orthogonal polygon. If there are a SE-reflex vertex $u = (x_u, y_u)$ and a NW-reflex 433 vertex $v = (x_v, y_v)$ of P such that $x_u \le x_v$ and $y_u \ge y_v$, then the $\{0^\circ\}$ -Kernel $_{\theta}(P)$ is empty for each $\theta \in (0, \frac{\pi}{2})$. 434 Similarly, if there are a SW-reflex vertex $u' = (x_{u'}, y_{u'})$ and a NE-reflex vertex $v' = (x_{v'}, y_{v'})$ of P such that 435 $x_{u'} \ge x_{v'}$ and $y_{u'} \ge y_{v'}$, then the $\{0^\circ\}$ -Kernel $_{\theta}(P)$ is empty for each $\theta \in (\frac{\pi}{2}, \pi)$.

⁴³⁶ Proof To see this, note that for any u, v as in the statement of the corollary, for any $\theta \in (\frac{\pi}{2}, \pi)$, the line ⁴³⁷ through u at angle θ is above the line through v at angle θ ; see Figure 9, right. Then, because u and v⁴³⁸ contribute a reflex maximum and a reflex minimum respectively (Observation 5(ii)), the strip S_{θ} is empty ⁴³⁹ and so is the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ by Lemma 2. A similar argument works for the vertices u', v'.



Fig. 9: Left: The NW-reflex vertex b and the SE-reflex vertex d are a reflex minimum and a reflex maximum with respect to the orientation θ , respectively, whereas the NE-reflex vertex a and the SW-reflex vertex c are a reflex minimum and a reflex maximum with respect to the orientation $\theta + \frac{\pi}{2}$, respectively. Right: Illustration for Corollary 3.

Notation. We denote by $\vartheta_P(a, b)$ the counterclockwise (CCW) boundary chain of polygon P from point a to point b where a and b are located on the boundary of P.

442 4.1 The $\{0^{\circ}\}$ -Kernel_{θ}(P) of simple orthogonal polygons

We now prove the results in the first row of Table 2, focusing on the case for $\theta \in [0, \frac{\pi}{2})$ since the case for $\theta \in [-\frac{\pi}{2}, 0)$ is similar. Observation 5 implies that for $\theta = 0$, the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$, if non-empty, is determined by a lowest N-dent and a highest S-dent and that for $\theta \in (0, \frac{\pi}{2})$, only the SE-reflex (NW-reflex respectively) vertices contribute reflex maxima (resp. minima).

Let *P* be a simple orthogonal polygon and suppose that there is at least one SE-reflex vertex in *P*. Let *u* be the leftmost SE-reflex vertex of *P* (in case of ties, take the topmost such vertex), consider the downward-pointing ray \vec{r} emanating from *u*, and, among its intersections with S-edges of *P* extending to the left of \vec{r} , let s_{SE} be the closest one to *u*. Similarly, let *u'* be the topmost SE-reflex vertex of *P* (in case of ties, take the leftmost such vertex) and let t_{SE} be, among the points of intersection of the rightward-pointing $\vec{r'}$ emanating from *u'* with an E-edge extending above $\vec{r'}$, the one closest to *u'*; see Figure 10, left.

⁴⁵³ Next, let C_{SE} be the upper hull of the CCW boundary chain $\vartheta_P(s_{SE}, t_{SE})$; the chain C_{SE} is the blue ⁴⁵⁴ dashed line in Figure 10, left. Similarly, by working with the NW-reflex vertices, we locate the (in case ⁴⁵⁵ of ties, topmost) rightmost and the (in case of ties, leftmost) bottom-most NW-reflex vertices and we ⁴⁵⁶ define the points s_{NW} and t_{NW} , and the lower hull C_{NW} of the CCW boundary chain $\vartheta_P(s_{NW}, t_{NW})$. ⁴⁵⁷ The definition of the chain C_{SE} which states that C_{SE} is the upper hull of $\vartheta_P(s_{SE}, t_{SE})$ and implies that ⁴⁵⁸ all the vertices of C_{SE} except for s_{SE}, t_{SE} are SE-reflex vertices and the corresponding arguments for the ⁴⁵⁹ chain C_{NW} imply the following lemma.

Lemma 4 Let s_{SE} , t_{SE} , C_{SE} , s_{NW} , t_{NW} , and C_{NW} of a simple orthogonal polygon P be as defined earlier. If all SE-reflex vertices belong to the CCW boundary chain $\vartheta_P(s_{SE}, t_{SE})$ and all NW-reflex vertices belong to the CCW boundary chain $\vartheta_P(s_{NW}, t_{NW})$, then for any angle $\theta \in (0, \frac{\pi}{2})$, any vertex of C_{SE} (resp. C_{NW}) at which a line at angle θ is tangent to C_{SE} (resp. C_{NW}) is a topmost reflex maximum (resp. lowest reflex minimum) with

464 respect to the orientation at angle θ .



Fig. 10: Left: An orthogonal polygon and the corresponding convex chains C_{SE} and C_{NW} . Right: An orthogonal polygon without SE-reflex vertices in which we can consider that the convex chain C_{SE} degenerates into vertex v.

Additionally, assuming that the CCW ordering of s_{SE} , t_{SE} , s_{NW} , and t_{NW} around the boundary of Pis precisely s_{SE} , t_{SE} , s_{NW} , t_{NW} , we can prove the following property of the CCW boundary chains of Pfrom t_{NW} to s_{SE} and from t_{SE} to s_{NW} .

Lemma 5 Let s_{SE} , t_{SE} , s_{NW} , and t_{NW} of a simple polygon P be as defined earlier, and assume that the CCW ordering of s_{SE} , t_{SE} , s_{NW} , and t_{NW} around the boundary of P is precisely s_{SE} , t_{SE} , s_{NW} , t_{NW} and that all SE-reflex vertices belong to the CCW boundary chain $\vartheta_P(s_{SE}, t_{SE})$ and all NW-reflex vertices belong to the CCW boundary chain $\vartheta_P(s_{NW}, t_{NW})$. Then, the CCW boundary chain $\vartheta_P(t_{NW}, s_{SE})$ of P from t_{NW} to s_{SE} is a

472 SW-staircase and the CCW boundary chain $\vartheta_P(t_{SE}, s_{NW})$ from t_{SE} to s_{NW} is a NE-staircase.

⁴⁷³ Proof Let us consider the case of the CCW boundary chain $\vartheta_P(t_{NW}, s_{SE})$ (see Figure 10, left); the ⁴⁷⁴ proof for the chain $\vartheta_P(t_{SE}, s_{NW})$ is symmetric. Since all SE-reflex vertices belong to the CCW bound-⁴⁷⁵ ary chain $\vartheta_P(s_{SE}, t_{SE})$ and all NW-reflex vertices belong to the CCW boundary chain $\vartheta_P(s_{NW}, t_{NW})$, the ⁴⁷⁶ chain $\vartheta_P(t_{NW}, s_{SE})$ contains neither SE-reflex nor NW-reflex vertices.

Suppose that we start at the W-edge to which t_{NW} belongs (let this edge be uv with v below u) and 477 proceed in CCW order. The edge following the W-edge uv is not a N-edge, otherwise the vertex v would 478 be a NW-reflex vertex, a contradiction. Thus, the edge following the W-edge uv is a S-edge, let it be vw. 479 If $s_{SE} \in vw$, then we are done and the lemma holds. Otherwise, if the edge following the edge vw was 480 an E-edge, then the top vertex of the leftmost edge in the CCW boundary chain $\vartheta_P(w, s_{SE})$ would be a 481 SE-reflex vertex (note that the E-edge incident on w belongs to this chain), a contradiction. Therefore, the 482 edge following the S-edge vw is a W-edge. Then, the above argument can be repeated until we reach the 483 point s_{SE} , implying that the CCW boundary chain $\vartheta_P(t_{NW}, s_{SE})$ is a NW-staircase. 484

Lemma 5 implies that if the given polygon P has no SE-reflex vertices, then the CCW boundary chain $\vartheta_P(t_{NW}, s_{NW})$ consists of a SW-staircase followed by a NE-staircase; see Figure 10, right. A similar result holds if there are no NW-reflex vertices.

488 4.1.1 The existence of the $\{0^{\circ}\}$ -Kernel_{θ}(P) for a simple orthogonal polygon P

In this subsection, we give an algorithm to determine when the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ for a simple orthogonal polygon P is non-empty. First, if no SE-reflex vertex exists, then no S-dents exist and as mentioned, the chain C_{SE} degenerates into the rightmost lowest vertex (see Figure 10, right) which thus belongs to the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ for all $\theta \in (0, \frac{\pi}{2})$; thus, the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ is non-empty for all $\theta \in [0, \frac{\pi}{2})$. A similar argument holds if no NW-reflex vertex exists. So, in the following, we assume that the polygon P has SE-reflex and NW-reflex vertices. Then, we show the following lemma.

495 **Lemma 6** Let s_{SE} , t_{SE} , C_{SE} , s_{NW} , t_{NW} , and C_{NW} of a simple orthogonal polygon P be as defined earlier.

(i) Let Q_{SE} be the convex part of the plane bounded from the left and above by C_{SE} , the downward-pointing ray emanating from s_{SE} , and the rightward-pointing ray emanating from t_{SE} . Similarly, let Q_{NW} be the convex part of the plane bounded from the right and below by C_{NW} , the upward-pointing ray emanating from s_{NW} , and the leftward-pointing ray emanating from t_{NW} .

(a) If the interiors of Q_{SE} and Q_{NW} intersect, then the $\{0^{\circ}\}$ -Kernel_{θ}(P) is empty for each $\theta \in (0, \frac{\pi}{2})$.

(b) If the interiors of Q_{SE} and Q_{NW} do not intersect but Q_{SE} and Q_{NW} touch at a common point z, then

the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ degenerates to a line segment for each θ equal to the angle of each common interior tangent of C_{SE} and C_{NW} at z, and is empty for all other values of θ .

⁵⁰⁴ (ii) If there exists a SE-reflex vertex not belonging to the CCW boundary chain $\vartheta_P(s_{SE}, t_{SE})$ or a NW-reflex ⁵⁰⁵ vertex not belonging to the CCW boundary chain $\vartheta_P(s_{SE}, t_{SE})$, then the $\{0^\circ\}$ -Kernel_{θ}(P) is empty for each ⁵⁰⁶ $\theta \in (0, \frac{\pi}{2})$.

⁵⁰⁷ Proof (i.a) Let p be a point in the intersection of the interiors of the unbounded convex polygons Q_{SE} ⁵⁰⁸ and Q_{NW} . Then, for any angle $\theta \in [0, \frac{\pi}{2})$, p lies below the tangent to C_{SE} at angle θ and above the tangent ⁵⁰⁹ to C_{NW} at angle θ and thus the strip $S_{\theta}(P)$ is empty. Therefore, for each $\theta \in [0, \frac{\pi}{2})$, the strip S_{θ} is empty ⁵¹⁰ and, by Lemma 2, so is the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$.

(i.b) If Q_{SE} and Q_{NW} touch along their horizontal rays, then the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ is a horizontal line segment if $\theta = 0$, otherwise it is empty. Similarly, if they touch along their vertical rays, then the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ is a vertical line segment if $\theta = \frac{\pi}{2}$, otherwise it is empty. Next, assume that Q_{SE} and Q_{NW} touch at a point of C_{SE} and C_{NW} . Then, because Q_{SE} and Q_{NW} are convex, they touch at a connected portion of C_{SE} and C_{NW} , that is, they touch at a point or a line segment. In either case, for any angle θ of any common interior tangent to C_{SE} and C_{NW} , the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ is a line segment, otherwise it is empty.

(ii) Let us concentrate on the case of a SE-reflex vertex of P, say v, not belonging to the CCW boundary chain $\theta_P(s_{SE}, t_{SE})$. (The case of a NW-reflex vertex not belonging to the CCW boundary chain $\vartheta_P(s_{NW}, t_{NW})$ is similar.) Let p be a point infinitesimally to the right and below v so that p is outside P. Since the chain $\vartheta_P(s_{SE}, t_{SE})$ is determined by the leftmost and the topmost SE-reflex vertices, the x-coordinate of vis larger than the x-coordinate of s_{SE} while the y-coordinate of v is smaller than the y-coordinate of t_{SE} .

This implies that $\vartheta_P(s_{SE}, t_{SE})$ intersects both the rightward-pointing horizontal ray \vec{r}_{\rightarrow} emanating from

⁵²⁴ p and the downward-pointing vertical ray \vec{r}_{\downarrow} emanating from p. Let Q_p be the closed quadrant delimited

⁵²⁵ by the rays \vec{r}_{\rightarrow} and \vec{r}_{\downarrow} (see Figure 11). Consider the set A_C of all minimal boundary chains of P that lie



Fig. 11: The quadrant Q_p in the proof of Lemma 6(ii).

in Q_P and are delimited by a point on \vec{r}_{\rightarrow} and a point on \vec{r}_{\downarrow} (the minimality implies that no point in such 526 a chain other than its endpoints belongs to either $\vec{r} \rightarrow \text{ or } \vec{r}_{\downarrow}$; these chains do not intersect, therefore they 527 are totally ordered and the ordering is the same as the ordering of their endpoints on \vec{r}_{\rightarrow} or \vec{r}_{\perp} . Among the 528 chains in A_C , let C be the chain with endpoint on \vec{r}_{\rightarrow} closest to p. Since p is outside the polygon P, then 529 the interior of P is to the left of C as we walk along it from its endpoint on \vec{r}_{\rightarrow} to its endpoint on \vec{r}_{\perp} ; see 530 Figure 11. Then, the right vertex of a lowest horizontal edge in C is a NW-reflex vertex (e.g., vertex z in 531 Figure 11). Since this vertex is below and to the right of the SE-reflex vertex v, Corollary 3 implies that 532 the $\{0^{\circ}\}$ -Kernel_{θ}(P) is empty for each $\theta \in (0, \frac{\pi}{2})$. 533

So, assume that none of the cases of Lemma 6 holds. Then, the chains C_{SE} and C_{NW} do neither intersect 534 nor touch, and the inner common tangents to C_{SE} and C_{NW} are well defined; let them be T_1 and T_2 with 535 the slope of T_1 being smaller than the slope of T_2 , and let ϕ_1, ϕ_2 be the CCW angle with respect to the 536 positive x-axis of T_1 and T_2 , respectively. If the y-coordinate of t_{NW} is greater than the y-coordinate of t_{SE} , 537 then we set $\theta_{min} = 0$, otherwise $\theta_{min} = \phi_1$. Similarly, we define θ_{max} to be equal to $\frac{\pi}{2}$ if the x-coordinate of 538 s_{SE} is greater than the x-coordinate of s_{NW} , otherwise $\theta_{max} = \phi_2$. For example, in Figure 10, left, $\theta_{min} = 0$ 539 and $\theta_{max} < \frac{\pi}{2}$. Then, since for $\theta \in (0, \frac{\pi}{2})$ the strip $S_{\theta}(P)$ is non-empty if and only if $\theta \in [\theta_{min}, \theta_{max}] \cap (0, \frac{\pi}{2})$, 540 by Lemma 1 we have: 541

Lemma 7 Let P be a simple orthogonal polygon such that none of the cases of Lemma 6 hold and consider that $\theta \in (0, \frac{\pi}{2})$. Then, if no SE-reflex or NW-reflex vertices exist, the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ is non-empty for each $\theta \in (0, \frac{\pi}{2})$, otherwise the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ is non-empty if and only if $\theta \in [\theta_{min}, \theta_{max}] \cap (0, \frac{\pi}{2})$.

⁵⁴⁵ **Corollary 4** The values of the angle $\theta \in [0, \frac{\pi}{2})$ for which the $\{0^{\circ}\}$ -Kernel_{θ}(P) of a simple orthogonal polygon P ⁵⁴⁶ is non-empty form a single interval and potentially the value $\theta = 0$.

547 Based on the above discussion, we outline our algorithm in Algorithm 4.

⁵⁴⁸ Analysis of Algorithm 4. The correctness of Algorithm 4 follows from the fact that if no SE-reflex or no ⁵⁴⁹ NW-reflex vertices exist, the $\{0^{\circ}\}$ -Kernel_{θ}(P) is non-empty for all $\theta \in [0, \frac{\pi}{2})$, and from Observation 5 and ⁵⁵⁰ Lemmas 6 and 7.

Computing the SE-reflex and NW-reflex vertices, the N- and S-dents, and then finding a lowest N-dent 551 and a highest S-dent can be done in O(n) time. Thus, STEP 1 can be completed in O(n) time and O(1)552 space. Computing the points $s_{SE}, t_{SE}, s_{NW}, t_{NW}$ can be done in O(n) time. The chains C_{SE} and C_{NW} can 553 be computed in O(n) time as well [11]. As the size of C_{SE} and C_{NW} is O(n) and they are x-monotone, 554 we can check whether they cross or touch in O(n) time by walking along them from their leftmost to their 555 rightmost endpoint in lockstep fashion. Computing the angle of the line supporting the segment I and the 556 angle ranges of the tangents at z can be done in O(1) time. The inner common tangents to C_{SE} and C_{NW} 557 can be computed in $O(\log n)$ time (in a fashion similar to computing the outer ones [8]), from which we can 558 compute θ_{min} and θ_{max} in O(1) time. Hence, STEP 2 requires O(n) time and O(n) space. Finally, STEP 3 559 takes O(1) time and space. In summary, we have: 560

Theorem 8 For a simple orthogonal polygon P with n vertices, the intervals of $\theta \in [-\frac{\pi}{2}, \frac{\pi}{2})$ for which $\{0^{\circ}\}$ -Kernel_{θ}(P) $\neq \emptyset$ can be computed in O(n) time and space. Algorithm 4 Computing the intervals of θ such that $\{0^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$ for a simple orthogonal polygon P

Input: A simple orthogonal polygon P with n vertices **Output:** The intervals of the angle θ such that $\{0^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$

STEP 1: CHECK IF $\{0^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$ for $\theta = 0$

1: if no SE-reflex vertices exist or no NW-reflex vertices exist then

- 2: **output** $[0, \frac{\pi}{2})$ and stop
- 3: compute the N- and S-dents of P and let e_N and e_S be a lowest N-dent and a highest S-dent, respectively
- 4: if the y-coordinate of e_N is smaller than the y-coordinate of e_S then
- 5: $solution_for_0 \leftarrow \emptyset$
- 6: else
- 7: $solution_for_0 \leftarrow [0,0]$

STEP 2: CHECK IF $\{0^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$ for $\theta \in (0, \frac{\pi}{2})$

- 8: compute the points $s_{SE}, t_{SE}, s_{NW}, t_{NW}$ and the convex chains C_{SE} and C_{NW}
- 9: check whether C_{SE} and C_{NW} cross, touch, or do not intersect
- 10: if there exists a SE-reflex vertex not in $\vartheta_P(s_{SE}, t_{SE})$ or a NW-reflex vertex not in $\vartheta_P(s_{NW}, t_{NW})$ or the chains C_{SE} and C_{NW} cross then

- 11: $solution_{-}for_{-}0^{-}\frac{\pi}{2} \leftarrow \emptyset$ 12: else if the chains C_{SE} and C_{NW} share a line segment I then 13: $solution_{-}for_{-}0^{-}\frac{\pi}{2} \leftarrow [\theta_{I}, \theta_{I}] \cap (0, \frac{\pi}{2})$ where θ_{I} is the angle of the line supporting the line segment I
- 14: else if the chains \tilde{C}_{SE} and C_{NW} touch at a single point z then
- let $R_{SE}(z)$ ($R_{NW}(z)$, resp.) be the angle interval of the tangent to C_{SE} (to C_{NW} resp.) at z 15:
- 16:solution_for_0- $\frac{\pi}{2} \leftarrow R_{SE}(z) \cap R_{NW}(z) \cap (0, \frac{\pi}{2})$
- 17:else
- compute the inner common tangents to ${\cal C}_{SE}$ and ${\cal C}_{NW}$ 18:
- 19:compute angles θ_{min} and θ_{max} as explained in the paragraph preceding Lemma 7
- 20:solution_for_0- $\frac{\pi}{2} \leftarrow [\theta_{min}, \theta_{max}] \cap (0, \frac{\pi}{2})$

4.1.2 Optimizing the area and perimeter of the $\{0^{\circ}\}$ -Kernel_{θ}(P) for a simple orthogonal polygon P 563

In this subsection, we present an algorithm that computes an angle $\theta \in [0, \frac{\pi}{2})$ such that the area (or 564 perimeter) of the $\{0^{\circ}\}$ -Kernel_{θ}(P) is maximized; minimization works similarly. 565

If the $\{0^{\circ}\}$ -Kernel_{θ}(P) for $\theta = 0$ is non-empty, we compute its area/perimeter and we use these to set 566 the current maximum value and the current angle of the maximum that we maintain; if the $\{0^{\circ}\}$ -Kernel₀(P) 567 is empty, then its area and perimeter are set to 0. Next, we work for $\theta \in (0, \frac{\pi}{2})$. For the sake of generality, 568 in the following, we consider that the polygon P has both SE-reflex and NW-reflex vertices; if one of these 569

two vertex types is missing, then we skip the computations involving that vertex type, whereas if both 570

vertex types are missing, then the kernel is the entire polygon P and we simply need to compute the area 571

or perimeter of P. 572



Fig. 12: Left: $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ for $\theta = \phi$. Right: Optimizing the area/perimeter of the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ for $\theta \in [\phi, \phi')$.

STEP 3: OUTPUT RESULTS 21: **output** solution_for_0 \cup solution_for_0- $\frac{\pi}{2}$

Next, we check whether the conditions of Lemma 6 hold; if they do, the area of each of the degenerate 573 kernels that arise is equal to 0, whereas, whenever the kernel is non-empty, its perimeter can be computed 574 in O(1) time. Otherwise, we compute the interval $A = [\theta_{min}, \theta_{max}] \cap [0, \frac{\pi}{2})$ as in Algorithm 4; we need to 575 maximize the area or perimeter of the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ for any angle $\theta \in A$. We start at $\theta = \theta_{min}$ and we 576 explicitly compute the $\{0^{\circ}\}$ -Kernel $_{\theta_{min}}(P)$ and its area (perimeter), which is the current area (perimeter) 577 maximum (we note that if $\theta_{min} = 0$, we compute the area of the intersection of the polygon P with a 578 horizontal strip defined by the highest SE-reflex vertex from below and the lowest NW-reflex vertex from 579 above). Subsequently, as in Section 2.2, we partition the interval A into angular subintervals, in each of 580 which the following property holds: 581

Froperty 1 The kernel involves the same topmost reflex maximum and lowest reflex minimum and the same edges of the polygon.

For the resulting partition, say P_A , the following lemma holds.

Lemma 8 For an orthogonal polygon P with n vertices, the size of the partition P_a of $A = [\theta_{min}, \theta_{max}] \cap [0, \frac{\pi}{2})$ is O(n).

⁵⁸⁷ Proof Let P_{SE} be the partition of the interval $A = [\theta_{min}, \theta_{max}] \cap [0, \frac{\pi}{2})$ based on which vertex of the ⁵⁸⁸ chain C_{SE} is the current topmost reflex maximum and on which edges of the polygon bound the lower ⁵⁸⁹ segment of the strip S_{θ} . Then, an angle $\theta \in A$ is a partition point if it is

- the angle of an edge of the chain C_{SE} (see Lemma 4 because at that angle the topmost reflex maximum changes, or

⁵⁹² – the angle of the tangent from a vertex of P to the chain C_{SE} because at that point the lower segment ⁵⁹³ of the strip S_{θ} moves to another edge.

Because the segments bounding the strip S_{θ} rotate in a continuous fashion (Observation 6), the number

of vertices of the chain C_{SE} and the polygon is O(n), the size of P_{SE} is O(n). Similarly, the size of the

⁵⁹⁶ corresponding partition P_{NW} related to the current lowest reflex minimum and the chain C_{NW} is O(n) as

well. Then, the partition P_A is the refinement of the partition P_{SE} by means of the partition P_{NW} , which yields that its size is O(n).

After the partition P_A has been computed, we process the subintervals in increasing angle value and in each such interval $[\beta_j, \beta_{j+1})$, we maximize the area/perimeter as a function of an angle $\beta \in [\beta_j, \beta_{j+1})$ by taking into account the area/perimeter of $\{0^\circ\}$ -Kernel $_{\beta_j}(P)$ and of the two green triangles and the two red triangles in the spirit of Equation 1, as shown in Figure 12, right. The area (respectively perimeter) of each of these four triangles depends linearly on $\tan \beta$ and $\cot \beta$ (resp. linearly on $(1 \pm \cos \beta)/\sin \beta$ and $(1 \pm \sin \beta)/\cos \beta$), see the appendix.

Based on the above discussion, we outline our algorithm to maximize the area of $\{0^\circ\}$ -Kernel_{θ}(P) in Algorithm 5.

Analysis of Algorithm 5. The correctness of Algorithm 5 follows from Observation 5, Lemmas 5, 6, and 7, 607 and the preceding discussion. Algorithm 4 requires O(n) time and space, as do the computation of the 608 $\{0^{\circ}\}$ -Kernel_{θ}(P) for $\theta = 0$ and its area, and checking the conditions of Lemma 6. Thus, STEP 1 takes O(n)609 time and space. Computing the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ for $\theta = \theta_{min}$ can be explicitly done in O(n) time and 610 space. Each iteration of the while loop in STEP 2 takes O(1) time as it involves accessing and processing 611 in O(1) time at most 8 neighboring vertices and maximizing a constant-degree polynomial. Moreover, it is 612 important to note that the subintervals processed in the while loop precisely form the partition P_A . Since 613 a different subinterval is processed in each iteration of the while loop and since the number of subintervals 614 is O(n) (Lemma 8), the execution of the while loop in STEP 2 takes O(n) time. Hence, by also taking into 615 account that minimization, where meaningful, can be handled analogously, we get: 616

⁶¹⁷ **Theorem 9** For a simple orthogonal polygon P with n vertices, the values of θ such that the area or the perimeter ⁶¹⁸ of the $\{0^{\circ}\}$ -Kernel_{θ}(P) are maximum/minimum can be computed in O(n) time and space.

⁶¹⁹ 4.2 The rotated $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) of a simple orthogonal polygon P

We now extend our study to $\mathcal{O} = \{0^{\circ}, 90^{\circ}\}$ for a simple orthogonal polygon P, proving the results in the second row of Table 2. Observe that it suffices to consider $\theta \in [0, \frac{\pi}{2})$ since $\{0^{\circ}, 90^{\circ}\}$ -Kernel₀ $(P) = \{0^{\circ}, 90^{\circ}\}$ -Kernel $\frac{\pi}{2}(P)$. Again, Observation 1 and Lemma 2 imply that

$${}_{623} \quad \{0^{\circ}, 90^{\circ}\}\text{-}\mathrm{Kernel}_{\theta}(P) = \{0^{\circ}\}\text{-}\mathrm{Kernel}_{\theta}(P) \cap \{90^{\circ}\}\text{-}\mathrm{Kernel}_{\theta}(P) = (S_{\theta}(P) \cap P) \cap (S_{\theta+90^{\circ}}(P) \cap P) (5)$$

Input: A simple orthogonal polygon P with n vertices **Output:** A value of the angle θ such that the area of $\{0^\circ\}$ -Kernel $_{\theta}(P)$ is maximum

STEP 1: CHECK SPECIAL CASES

- 1: execute Algorithm 4 to compute the set T of values of θ for which $\{0^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$, and $\theta_{min}, \theta_{max}$, if they can be defined
- 2: $current_angle \leftarrow 0$
- 3: if $0 \in T$ then
- 4: compute the area of $\{0^\circ\}$ -Kernel $_{\theta}(P)$ for $\theta = 0$
- 5: $current_max \leftarrow computed area$
- 6: else
- 7: $current_max \leftarrow 0$
- 8: if any of the conditions of Lemma 6 holds then
- 9: **output** current_max and **stop**

STEP 2: MAXIMIZE THE AREA OF $\{0^\circ\}$ -Kernel $_{\theta}(P)$ FOR $\theta \in A = [\theta_{min}, \theta_{max}] \cap [0, \frac{\pi}{2})$

- 10: compute the area of $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ for $\theta = \theta_{min}$
- 11: $\theta \leftarrow \theta_{min}$
- 12: while $\theta < \theta_{max}$ do
- 13: compute the angles for which the highest reflex maximum and the lowest reflex minimum change
- 14: compute the angles for each of the segments bounding the strip S_{θ} to reach the next vertex of the polygon P
- 15: $\delta \leftarrow$ the minimum among the angles computed in the 2 preceding lines
- 16: maximize the area of the $\{0^\circ\}$ -Kernel $_{\theta}(P)$ for $\theta \in [\theta, \theta + \delta)$ by using the expressions for the area in the appendix
- 17: update, if needed, the current maximum area value *current_max* and the corresponding angle *current_angle* 18: **output** *current_max* and *current_angle*

and therefore $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ} $(P) = S_{\theta}(P) \cap S_{\theta+90^{\circ}}(P) \cap P$, that is, the case is an extension of the $\{0^{\circ}\}$ -Kernel_{θ}(P) with two strips $S_{\theta}(P)$ and $S_{\theta+90^{\circ}}(P)$, which are perpendicular to each other.

For $\theta = 0$, the $\{0^{\circ}, 90^{\circ}\}$ -Kernel $_{\theta}(P)$ is the intersection of the polygon P with the horizontal strip determined above by the lowest N-dent and below by the topmost S-dent, and with the vertical strip determined to the left by the rightmost W-dent and to the right by the leftmost E-dent. Thus, the $\{0^{\circ}, 90^{\circ}\}$ -Kernel $_{0}(P)$ may have reflex vertices (but no dents) at the top left, top right, bottom left or bottom right corners and is orthogonally convex.

Below we consider the case for $\theta \in (0, \frac{\pi}{2})$. In accordance with Observation 5, all reflex vertices are reflex maxima or minima with respect to one of the orientations in \mathcal{O}_{θ} ; then, the definition of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) implies that:

⁶³⁴ **Observation 7** For $\theta \in (0, \frac{\pi}{2})$, the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) is a convex polygon.

See Figure 13, right for an example. Recall that for $\theta = 0$, the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) is not necessarily convex, but it is orthogonally convex.

As mentioned above, in this case, the kernel is in general defined by the two perpendicular strips 637 $S_{\theta}(P)$ and $S_{\theta+90^{\circ}}(P)$. Let us investigate the cases that may arise for the points of intersection of the lines 638 bounding these strips. So, consider an angle $\theta \in (0, \frac{\pi}{2})$ such that there is at least one reflex maximum in 639 the orientation θ and at least one reflex minimum in the orientation $\theta + 90^{\circ}$, and let $\ell_{\theta,\downarrow}$ (resp. $\ell_{90^{\circ}+\theta,\uparrow}$) be 640 the bottom (resp. top) segment bounding $S_{\theta}(P)$ (resp. $S_{\theta+90^{\circ}}(P)$). Moreover, let p (resp. q) be the right 641 endpoint of $\ell_{\theta,\downarrow}$ (resp. $\ell_{90^\circ+\theta,\uparrow}$). Clearly p belongs to a N- or an E-edge, and similarly, q belongs to a S-642 or an E-edge. Each of the above possibilities for p and q may well arise if the segments $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$ 643 intersect (see Figure 14, left); the point of intersection lies in the polygon P and, as the strips rotate, it 644 moves along an arc of a circle whose diameter is the line segment connecting the reflex maximum and the 645 reflex minimum about which $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$, respectively, rotate. However, if these two segments do not 646 intersect, then only one case for the relative location of p and q is possible, as we show in the following 647 lemma. 648

Lemma 9 Let P be a simple orthogonal polygon and suppose that the conditions of Lemma 6 hold neither for the SE-reflex and NW-reflex vertices, nor for the SW-reflex and the NE-reflex vertices. Let segments $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$ and points p, q be defined as above. If $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$ do not intersect, then p and q belong to the same E-edge of P.

Proof The tangency of the segments $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$ to the chains C_{SE} and C_{NE} , respectively, implies that p,q belong (in fact, in that order) to the CCW boundary chain $\vartheta_P(t_{SE}, s_{NE})$ of P. Suppose, for



Fig. 13: Left: A simple orthogonal polygon P and the convex chains C_{SE}, C_{NE}, C_{NW} ; no SW-reflex vertices exist. Right: The $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) for $\theta = \frac{\pi}{4}$ is shown darker.



Fig. 14: Left: The segments $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$ intersect. Middle: For Lemma 9; an impossible configuration. Right: As the angle θ increases, the segments $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$ intersect, later they stop doing so, and later they intersect again.

contradiction, that the point p belongs to a N-edge. Then, no matter whether q belongs to an E-edge or a S-edge, the left vertex of the topmost edge of the CCW boundary chain from p to q is a SE-reflex vertex that is higher than p and thus higher than t_{SE} (see vertex z in Figure 14, middle), in contradiction to the assumption that Lemma 6, statement (ii), does not hold for the chain C_{SE} . Thus, p belongs to an E-edge. The exact same argument enables us to show that q belongs to an E-edge, and in fact that p, q belong to the same E-edge.

Since a circular arc (the locus of the intersection points of the lines supporting the rotating segments $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$) and a line segment (e.g., an E-edge) intersect in at most two points (see Figure 14, right), the above lemma implies that we may need to consider at most 3 angular subintervals for the at most 3 different cases to consider for the pair of $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$). As there are at most 4 such pairs, we have:

Observation 8 An angle interval satisfying Property 1 (Section 4.1.2) may need to be broken into at most 12 sub-intervals.

Additionally, Lemma 9 readily implies that if the segments $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$ do not intersect, then, in the boundary of the $\{0^\circ, 90^\circ\}$ -Kernel_{θ}(*P*), *p* and *q* are connected by a part of an edge of *P*. Note that the kernel has one fewer edge if $\ell_{\theta,\downarrow}$ and $\ell_{90^\circ+\theta,\uparrow}$ intersect or if exactly one of these segments rotates around a degenerate chain, that is a point (see Figure 13, right); similar results hold for the remaining 4 pairs of "consecutive" segments and more occurrences of the above cases result into a kernel of even fewer edges. Therefore:



⁶⁷⁴ 4.2.1 The existence of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) of a simple orthogonal polygon P

In this subsection, we give an algorithm to determine when the $\{0^\circ, 90^\circ\}$ -Kernel_{θ}(P) for a simple orthogonal polygon P is non-empty. The algorithm relies on the following lemma, which is an extension of Lemma 6.

Lemma 10 If the conditions of Lemma 6 hold for either the SE-reflex and NW-reflex vertices and the chains C_{SE} and C_{NW} , or the SW-reflex and NE-reflex vertices and the chains C_{SW} and C_{NE} , then the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) either is empty or degenerates to a point or a line segment.

If Lemma 10 does not apply, then we work as in Section 3.1, namely, we determine a sequence \mathcal{I} 680 of angle intervals such that each interval in \mathcal{I} satisfies Property 1 (Section 4.1.2). Then, for each such 681 event interval $[\gamma, \gamma')$, we find the values of $\theta \in [\gamma, \gamma')$ such that at least one of the corners of the floating 682 rectangle R_{θ} lies in P. For a corner r, this computation can be easily done by comparing the position of r 683 with the position of the corresponding endpoint, say s, of the line segment that bounds the strip $S_{\theta}(P)$ 684 and whose supporting line defines r, that is by comparing the locus of the corner for $\theta \in [\gamma, \gamma']$ (which is 685 a circular arc) with the edge on which s lies; see Figure 14, left and right. Our algorithm is outlined in 686 Algorithm 6. 687

Algorithm 6 Computing intervals of θ such that $\{0^\circ, 90^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$ for a simple orthogonal polygon P

Input: A simple orthogonal polygon P with n vertices **Output:** Sequence \mathcal{E} of intervals for angles θ such that $\{0^\circ, 90^\circ\}$ -Kernel $_{\theta}(P) \neq \emptyset$

STEP 1: Case for $\theta = 0$ and apply Lemma 10

- 1: compute the $\{0^{\circ}, 90^{\circ}\}$ -Kernel $_{\theta}(P)$ for $\theta = 0$
- 2: if $\{0^\circ, 90^\circ\}$ -Kernel $_0(P) \neq \emptyset$ then
- 3: $solution_for_0 \leftarrow [0,0]$
- 4: if the conditions of Lemma 10 hold then
- 5: apply STEP 2 of Algorithm 4 to the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ or $\{90^{\circ}\}$ -Kernel $_{\theta}(P)$ that is degenerate, compute the values of the angle θ (if any) for which it is non-empty, and compute the subset *solution*2 of these values for which the intersection of these kernels is non-empty
- 6: **output** $solution_for_0 \cup solution2$ and **stop**

STEP 2: COMPUTE EVENT INTERVALS

- 7: compute the sequence \mathcal{I}_{0° of subintervals having Property 1 as in STEP 2 of Algorithm 5
- 8: compute the sequence \mathcal{I}_{90° of subintervals having Property 1 as in STEP 2 of Algorithm 5
- 9: Refine $\mathcal{I}_{0^{\circ}}$ by using $\mathcal{I}_{90^{\circ}}$ into the sequence $\mathcal{I} = \{I' \cap I'' = [\gamma, \gamma') \mid I' \in \mathcal{I}_{0^{\circ}} \text{ and } I'' \in \mathcal{I}_{90^{\circ}}\}$

 $\mathbf{STEP} \ \mathbf{3:} \ \mathbf{CHECK} \ \mathbf{CORNERS} \ \mathbf{OF} \ \mathbf{FLOATING} \ \mathbf{RECTANGLE}$

- 10: for each angle interval $[\phi, \phi') \in \mathcal{I}$ do
- 11: by determining the values of the angle $\theta \in [\phi, \phi')$ for which at least one of the cases (B.1), (B.2) in Lemma 3 holds, find the values of the angle θ for which the corner lies in P
- 12: insert these values, if any, in an initially empty sequence \mathcal{E}
- 13: output \mathcal{E}

Computing the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) for $\theta = 0$ can be done in O(n) and space; recall that it is defined 688 by the lowest N-dent, by the topmost S-dent, by the rightmost W-dent, and by the leftmost E-dent. O(n)689 time is also need to check the conditions of Lemma 10 and O(n) time and space suffice to compute the 690 $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) if any one of these conditions holds. Thus, STEP 1 can be completed in O(n) time 691 ans space. STEP 2 of Algorithm 5 takes O(n) time and space and hence, and so does the entire STEP 2 692 of Algorithm 6; note that the refinement of two interval sequences of O(n) size each (Lemma 8) can be 693 done in O(n) time and produces a sequence of O(n) size. In STEP 3, checking case (B.1) in Observation 3 694 can be done in O(1) time by locating each of the $p_N(), p_S()$ against the strip $S_{\theta+90^\circ}$ and each of the 695 $p_E(), p_W()$ against the strip S_{θ} . For case (B.2), for each of the 4 corners, we determine the values of θ , 696 for which the circular arc traced by the corner for $\theta \in [\delta, \delta')$ intersects any of the (at most 8) edges of the 697 polygon that delimit the segments bounding the strips S_{θ} and $S_{\theta+90^{\circ}}$ (see Figure 14); then, by taking into 698 account whether the corner at $\theta = \delta$ lies in P or not, we can find the values of the angle θ for which the 699 corner lies in P. Then, for case (B.2), the values of θ sought are precisely the union of the angle values 700 computed for each corner of the rectangle R_{θ} ; this takes O(1) time as well. Moreover, since the sequence \mathcal{I} 701 is of O(n) size and because of Observation 8, we have: 702

 n_2 is of O(n) size and because of Observation 6, we have.

⁷⁰³ **Observation 9** The total number of subintervals in the sequence \mathcal{E} is O(n).

Finally, since the for-loop in STEP 3 is repeated O(n) times, STEP 3 is completed in O(n) time and space. Thus:

Theorem 10 For a simple orthogonal polygon P with n vertices, the intervals of $\theta \in [0, \frac{\pi}{2})$ for which $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) $\neq \emptyset$ can be computed in O(n) time and space.

⁷⁰⁸ 4.2.2 Optimizing the area and perimeter of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) of a simple orthogonal polygon P

Our algorithm for the problem of optimizing the area/perimeter of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) for a simple 709 orthogonal polygon P follows the steps of Algorithm 6. It treats the case for $\theta = 0$ as a special case and 710 computes its area or perimeter, which it uses to initialize the current maximum value. Next, it checks 711 the conditions of Lemma 10 and computes the values of area/perimeter in these degenerate cases (see 712 Lemma 10). Subsequently, it performs STEP 2 of Algorithm 6 and proceeds to STEP 3, except that in each 713 small angular interval for which at least one corner of the rectangle R_{θ} lies in P, it works incrementally 714 maximizing the area or perimeter as in the algorithm in Section 3.2, which takes O(1) time; the area 715 (resp. perimeter) depends linearly on $\tan\beta$, $\cot\beta$, and $\sin\beta\cos\beta$ (resp. linearly on $(1\pm\cos\beta)/\sin\beta$, $(1\pm$ 716 $\sin\beta/\cos\beta$, and $(\sin\beta+\cos\beta)$, see the appendix. It is important to note that for each angle interval $[\gamma,\gamma')$ 717 with $\gamma \neq 0$ and $\gamma' \neq \frac{\pi}{2}$ for which the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) is non-empty such that the kernel is empty for 718 $\theta = \gamma - \varepsilon$ for a small enough ε , the kernel for $\theta = \gamma$ is degenerate, i.e., it is a point or a line segment, so 719 that its area and perimeter can be computed in O(1) time. 720

The above discussion and the fact that the algorithm for the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) is very similar to that for the $\{0^{\circ}\}$ -Kernel_{θ}(P) lead to the following result.

Theorem 11 Given a simple orthogonal polygon P, computing the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P) as well as finding an

angle θ such that its area or perimeter is maximized or minimized can be done in O(n) time and space.

725 4.2.3 Generalization to k orientations

For a set \mathcal{O} with k orientations $\alpha_1, \ldots, \alpha_k$, computing the intervals of the angle θ such that $\{\alpha_1, \ldots, \alpha_k\}$ -Kernel_{θ}(P) $\neq \emptyset$ or an angle θ such that the area or the perimeter of this kernel is reduced to computing and maintaining the intersection of P with k different strips. As mentioned in Section 3.1.3, Lemma 3 appropriately extends and the incremental construction of the kernel involves work on O(k) triangles. As a result, Theorems 10 and 11 extend to the following theorem that leads to the results in the third row of Table 2.

Theorem 12 Given a simple orthogonal polygon P with n vertices, the intervals of θ such that $\{\alpha_1, \ldots, \alpha_k\}$ -Kernel $_{\theta}(P) \neq \emptyset$ or an angle such that the area or perimeter of $\{\alpha_1, \ldots, \alpha_k\}$ -Kernel $_{\theta}(P)$ are maximum/minimum

 $_{734}$ can be computed in O(kn) time and space.

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779 A Appendix

A.1 Trigonometric formulas for the area of the $\{0^{\circ}\}$ -Kernel_{θ}(P) and the $\{0^{\circ},90^{\circ}\}$ -Kernel_{θ}(P)

We consider angle $\beta \in [\theta_i, \theta_{i+1}] \subseteq (0, \frac{\pi}{2})$ and triangles with two edges on lines forming angles β, θ_i with the positive *x*-axis.

For the third edge, we distinguish two cases: it is horizontal or it is on a line forming angle α with the positive x-axis (see Figure 15).



Fig. 15: For the formulas of the area and perimeter of the $\{0^{\circ}\}$ -Kernel_{θ}(P).

From Figure 15 (left), the area of a triangle T with edges at angles 0 (horizontal edge), θ_i , and β ($0 < \theta_i \le \beta \le \theta_{i+1} < \frac{\pi}{2}$) is equal to

$$A_T = \frac{1}{2} d |\overline{pq}| = \frac{1}{2} d (d \cot \theta_i - d \cot \beta) = \frac{1}{2} d^2 (\cot \theta_i - \frac{\cos \beta}{\sin \beta}).$$
(6)

Let us now consider a triangle T with edges at angles θ_i , β , and α $(0 < \theta_i \le \beta < \theta_{i+1} \le \frac{\pi}{2})$ (see Figure 15, right). Then, $\widehat{upr} = \alpha - \phi$ and $\widehat{uqr} = \alpha - \theta_i$ which imply that $|\overline{pq}| = |\overline{rq}| - |\overline{rp}| = h \tan(\frac{\pi}{2} - \alpha + \beta) - h \tan(\frac{\pi}{2} - \alpha + \theta_i) = h \cot(\alpha - \beta) - h \cot(\alpha - \theta_i)$ where $h = |\overline{ur}|$ is the (perpendicular) distance of u from the line through p, q. Then, the area of the triangle is equal to

$$A_T = \frac{1}{2}h|\overline{pq}| = \frac{1}{2}h^2 \left(\cot(\alpha - \beta) - \cot(\alpha - \theta_i)\right) = \frac{1}{2}h^2 \left(\frac{1 + \cot\alpha \cot\beta}{\cot\beta - \cot\alpha} - \cot(\alpha - \theta_i)\right)$$
$$= \frac{1}{2}h^2 \left(\frac{\sin\beta + \cot\alpha \cos\beta}{\cos\beta - \cot\alpha \sin\beta} - \cot(\alpha - \theta_i)\right)$$
(7)

⁷⁹² Expression of the area of the $\{0^{\circ}\}$ -Kernel_{θ}(P). By using Equation 1 and Equations 6 and 7 and since θ_i is fixed, the ⁷⁹³ area $A(\beta)$ of the $\{0^{\circ}\}$ -Kernel_{θ}(P) in terms of $\beta \in [\theta_i, \theta_{i+1})$ is

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$$A(\beta) = A(\theta_i) + (A_1(\beta) + A_2(\beta) - B_1(\beta) - B_2(\beta)) = A(\theta_i) + \sum_{i=1}^4 \left(\frac{C_i \sin\beta + D_i \cos\beta}{E_i \sin\beta + F_i \cos\beta} + G_i \right)$$

where $A(\theta_i)$ is the known value of the current area, and C_i , D_i , E_i , F_i , and G_i are all constants for every i = 1, ..., 4. Then, by setting the derivative equal to zero, we get

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$$A'(\beta) = \sum_{i=1}^{4} \frac{C_i F_i - D_i E_i}{(E_i \sin \beta + F_i \cos \beta)^2} = 0$$

798 implying that

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$$\sum_{i=1}^{4} \left[(C_i F_i - D_i E_i) \prod_{\substack{j=1\\ j \neq i}}^{4} (E_j \sin \beta + F_j \cos \beta)^2 \right] = 0$$

Expanding the product, we find three types of terms depending on $\sin^2 \beta$, $\cos^2 \beta$, and $\sin \beta \cos \beta$. Now using the trigonometric transformations

$$\sin^2\beta = \frac{\tan^2\beta}{1+\tan^2\beta}, \quad \cos^2\beta = \frac{1}{1+\tan^2\beta}, \quad \text{and} \quad \sin\beta\cos\beta = \frac{\tan\beta}{1+\tan^2\beta}$$

and making the change $\tan \beta = t$ we get a rational function in t. Then, the derivative function for the area is now a function on the variable t, A'(t), and it is a rational function having as numerator a polynomial in t of degree 6 and as denominator a polynomial of degree 12. So we can compute the real solutions of a polynomial equation in t of degree 6.

Orthogonal polygons: For the case of the $\{0^\circ\}$ -Kernel_{θ}(P) for orthogonal polygons P, the triangles $A_i(\beta)$ have a horizontal or a vertical base. Since for $\alpha = \frac{\pi}{2}$, Equation 7 becomes

$$A_T = \frac{1}{2}h^2 \left(\frac{\sin\beta}{\cos\beta} - \cot(0-\theta_i)\right) = \frac{1}{2}h^2 (\tan\beta - \tan\theta_i), \tag{8}$$

809 Equations 6 and 8 imply that in this case we have

$$A(\beta) = A(\theta_i) + C \tan \beta + D \cot \beta + C$$

811 for appropriate constants C, D, G.

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Fig. 16: For the formulas of the area and perimeter of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P).

Expression of the area of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P). Consider the case in which the corner of the floating rectangle R_{θ}) lies in P (then it is a vertex of the kernel) and does so for all the angles $\beta \in [\theta_i, \theta_{i+1})$. Then, the corner moves along a circular arc with diameter the distance of the reflex minima/maxima that define the corner; see Figure 16. Then, the

$$\begin{split} \Delta A_T &= A_T(u \, v \, q) - A_T(u \, v \, p) = \frac{1}{2} \left| \overline{uq} \right| \left| \overline{vq} \right| - \frac{1}{2} \left| \overline{up} \right| \left| \overline{vp} \right| \\ &= \frac{1}{2} \left(\left| \overline{uv} \right| \, \cos \beta \right) \left(\left| \overline{uv} \right| \, \sin \beta \right) - \frac{1}{2} \left(\left| \overline{uv} \right| \, \cos \theta_i \right) \left(\left| \overline{uv} \right| \, \sin \theta_i \right) \\ &= \frac{1}{2} \left| \overline{uv} \right|^2 \left(\sin \beta \, \cos \beta - \sin \theta_i \, \cos \theta_i \right). \end{split}$$

⁸¹⁷ Thus, in this case, for simple polygons, the differential in the area involves at most 4 terms, each being either

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$$\frac{C_i \sin \beta + D_i \cos \beta}{E_i \sin \beta + F_i \cos \beta} \quad \text{or} \quad K_i \sin \beta \cos \beta$$

819 **Orthogonal polygons:** For the case of orthogonal polygons, similarly we have at most 4 terms, each being $C_i \tan \beta$, 820 $D_i \cot \beta$, or $K_i \sin \beta \cos \beta$ and thus we have we have

$$A(\beta) = A(\theta_i) + C \tan \beta + D \cot \beta + K \sin \beta \cos \beta + G$$

for appropriate constants C, D, K, G.

A.2 Trigonometric formulas for the perimeter of the $\{0^{\circ}\}$ -Kernel_{θ}(P) and the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(P)

As in the previous section, let us first consider the case of Figure 15, left. We want to compute $\Delta \Pi_T^+$ ($\Delta \Pi_T^-$ which is the difference of the length of the edge at angle β minus the length of the edge at angle θ_i plus (minus resp.) the length of the side at angle 0. Thus:

$$\Delta \Pi_T^{\pm} = |\overline{uq}| - |\overline{up}| \pm |\overline{pq}| = \frac{d}{\sin\beta} - \frac{d}{\sin\theta_i} \pm (d \cot\theta_i - d \cot\beta)$$
$$= d\left(\frac{1}{\sin\beta} - \frac{1}{\sin\theta_i} \pm \frac{\cos\theta_i}{\sin\theta_i} \mp \frac{\cos\beta}{\sin\beta}\right) = d\left(\frac{1\mp\cos\beta}{\sin\beta} - \frac{1\mp\cos\theta_i}{\sin\theta_i}\right). \tag{9}$$

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821

Next, let us consider a triangle T with edges at angles θ_i , β , and α $(0 < \theta_i \le \beta < \theta_{i+1} \le \frac{\pi}{2})$ (see Figure 15, right). Recall that $\widehat{upr} = \alpha - \phi$ and $\widehat{uqr} = \alpha - \theta_i$ which imply that

$$|\overline{up}| = \frac{h}{\cos(\frac{\pi}{2} - \alpha + \theta_i)} = \frac{h}{\sin(\alpha - \theta_i)}, \qquad |\overline{uq}| = \frac{h}{\cos(\frac{\pi}{2} - \alpha + \beta)} = \frac{h}{\sin(\alpha - \beta)},$$

Then, since $|\overline{pq}| = h (\cot(\alpha - \beta) - \cot(\alpha - \theta_i))$, the differential $\Delta \Pi$ in the perimeter is equal to:

$$\Delta \Pi_T^{\pm} = |\overline{uq}| - |\overline{up}| \pm |\overline{pq}| = \frac{h}{\sin(\alpha - \beta)} - \frac{h}{\sin(\alpha - \theta_i)} \pm h \left(\cot(\alpha - \beta) - \cot(\alpha - \theta_i) \right) \\
= h \left(\frac{1 \pm \cos(\alpha - \beta)}{\sin(\alpha - \beta)} - \frac{1 \pm \cos(\alpha - \theta_i)}{\sin(\alpha - \theta_i)} \right) \\
= h \left(\frac{1 \pm \cos \alpha \cos \beta \pm \sin \alpha \sin \beta}{\sin \alpha \cos \beta - \cos \alpha \sin \beta} - \frac{1 \pm \cos(\alpha - \theta_i)}{\sin(\alpha - \theta_i)} \right).$$
(10)

832

Expression of the perimeter of the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$. In Figure 5, the green (red, resp.) triangles which result in an increase (a decrease resp.) in the perimeter contribute a $\Delta \Pi_T^+$ ($\Delta \Pi_T^-$ resp.) term, and thus we use both the differentials $\Delta \Pi_T^{\pm}$. So, from Equations 9 and 10, for the perimeter $\Pi(\beta)$ of the $\{0^{\circ}\}$ -Kernel $_{\theta}(P)$ as a function of $\beta \in [\theta_i, \theta_{i+1})$ we can

 $\frac{1}{336}$ write $\frac{4}{3}$ (G i 0 + D = 0 + H =)

$$\Pi(\beta) = \Pi(\theta_i) + \sum_{i=1}^{\infty} \left(\frac{C_i \sin \beta + D_i \cos \beta + H_i}{E_i \sin \beta + F_i \cos \beta} + G_i \right),$$

where $\Pi(\theta_i)$ is the known value of the current perimeter, and C_i , D_i , E_i , F_i , G_i , and H_i are all constants for every $i = 1, \dots, 4$.

Orthogonal polygons: For the case of the $\{0^\circ\}$ -Kernel $_{\theta}(P)$ for an orthogonal polygons P, the triangles $A_i(\beta)$ have a horizontal or a vertical base. Then from Equation 10 for $\alpha = \frac{\pi}{2}$, we have

$$\Delta \Pi_T^{\pm} = h \left(\frac{1 \pm \sin\beta}{\cos\beta} - \frac{1 \pm \cos(\frac{\pi}{2} - \theta_i)}{\sin(\frac{\pi}{2} - \theta_i)} \right) = h \left(\frac{1 \pm \sin\beta}{\cos\beta} - \frac{1 \pm \sin\theta_i}{\cos\theta_i} \right)$$
(11)

and Equations 9 and 11 imply that in this case the perimeter $\Pi(\beta)$ of the $\{0^\circ\}$ -Kernel $_{\theta}(P)$ in terms of $\beta \in [\theta_i, \theta_{i+1})$ is equal to

$$\Pi(\beta) = \Pi(\theta_i) + C \, \frac{1 + \cos\beta}{\sin\beta} + D \, \frac{1 - \cos\beta}{\sin\beta} + E \, \frac{1 + \sin\beta}{\cos\beta} + F \, \frac{1 - \sin\beta}{\cos\beta} + G$$

⁸⁴⁶ for appropriate constants C, D, E, F, G.

837

842

849

Expression of the perimeter of the $\{0^{\circ}, 90^{\circ}\}$ -Kernel_{θ}(*P*). In this case, we may also have corners of the kernel moving along a circular arc as shown in Figure 16. From this figure, we observe that the differential in the perimeter is

$$\begin{aligned} \Delta \Pi_M &= (|\overline{uq}| + |\overline{vq}|) - (|\overline{up}| + |\overline{vp}|) \\ &= (|\overline{uv}| \cos\beta + |\overline{uv}| \sin\beta) - (|\overline{uv}| \cos\theta_i + |\overline{uv}| \sin\theta_i) \\ &= |\overline{uv}| (\sin\beta + \cos\beta) - |\overline{uv}| (\sin\theta_i + \cos\theta_i). \end{aligned}$$

Thus, for simple polygons, the differential in the perimeter involves at most 4 terms, each being

$$\frac{C_i \sin \beta + D_i \cos \beta + H_i}{E_i \sin \beta + F_i \cos \beta} \quad \text{or} \quad K_i \left(\sin \beta + \cos \beta \right).$$

Orthogonal polygons: For the case of orthogonal polygons, similarly we have at most 4 terms, each being $C_i (1 \pm \cos \beta) / \sin \beta$, $D_i (1 \pm \sin \beta) / \cos \beta$, or $K_i (\sin \beta + \cos \beta)$ and thus

$$\Pi(\beta) = \Pi(\theta_i) + C \frac{1 + \cos\beta}{\sin\beta} + D \frac{1 - \cos\beta}{\sin\beta} + E \frac{1 + \sin\beta}{\cos\beta} + F \frac{1 - \sin\beta}{\cos\beta} + K (\sin\beta + \cos\beta) + G$$

for appropriate constants C, D, E, F, G, K.

The above expressions of the perimeter $\Pi(\beta)$ of the $\{0^\circ\}$ -Kernel $_{\theta}(P)$ and the $\{0^\circ, 90^\circ\}$ -Kernel $_{\theta}(P)$ in terms of the angle β can be maximized as we showed for the area of the $\{0^\circ\}$ -Kernel $_{\theta}(P)$ in Appendix A.1 by computing the real solutions of a polynomial of constant degree.